

Tuples of Disjoint NP-Sets

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Basic Definitions

Pairs and Tuples
P-Seperable Tuples
Reductions Between Tuples

Tuples and Proof Systems

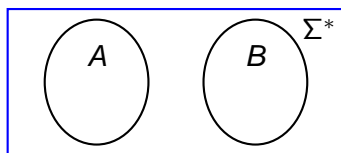
Propositional Proof
Systems
Representable Pairs
Tuples from Proof Systems
The Complexity Classes
 $\text{DNPP}_k(P)$
Complete Tuples and
Optimal Proof Systems

Summary

Disjoint NP-Pairs

Definition (Grollmann, Selman 88)

(A, B) is a *disjoint NP-Pair* if $A, B \in \text{NP}$ and $A \cap B = \emptyset$.



Example

Clique-Colouring pair (CC_0, CC_1)

$$CC_0 = \{(G, k) \mid G \text{ contains a clique of size } k\}$$

$$CC_1 = \{(G, k) \mid G \text{ can be coloured with } k - 1 \text{ colours}\}$$

- ▶ security of public-key crypto systems
[Grollmann, Selman 88], [Homer, Selman 92]
- ▶ characterization of properties of propositional proof systems
[Bonet, Pitassi, Raz 00], [Pudlák 03]
- ▶ lower bounds to the length of propositional proofs
[Razborov 96], [Pudlák 97], [Krajíček 04]
- ▶ complete problems for promise classes
[Köbler et al. 03], [Glaßer et al. 04]

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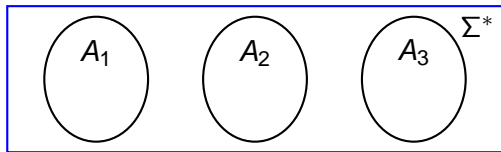
Complete Tuples and Optimal Proof Systems

Summary

Tuples instead of Pairs

Definition

(A_1, \dots, A_k) is a **disjoint k -tuple of NP-sets** if all components A_1, \dots, A_k are nonempty languages in NP which are pairwise disjoint.



Example

(C_1, \dots, C_k) where C_i contains all $i + 1$ -colourable graphs with a clique of size i .

Definition

A tuple (A_1, \dots, A_k) is **p-separable** if there exists a polynomial time computable function $f : \Sigma^* \rightarrow \{1, \dots, k\}$ such that

$$a \in A_i \implies f(a) = i$$

for $i = 1, \dots, k$ and $a \in \Sigma^*$.

Example

(C_1, \dots, C_k) is p-separable (where C_i contains all $i + 1$ -colourable graphs with a clique of size i .)

input: graph G

output: $\max\{i \leq k \mid G \text{ contains a clique of size } i\}$

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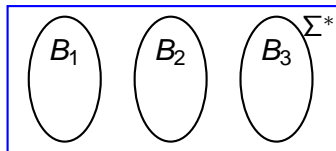
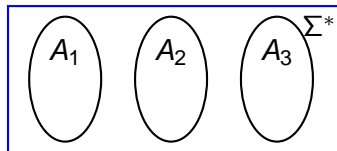
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Definition

$(A_1, \dots, A_k) \leq_p (B_1, \dots, B_k) \stackrel{df}{\iff}$ there exists a polynomial time computable function f such that $f(A_i) \subseteq B_i$ for $i = 1, \dots, k$.



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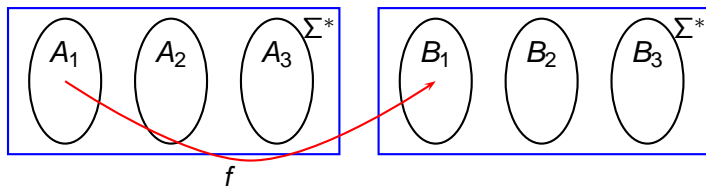
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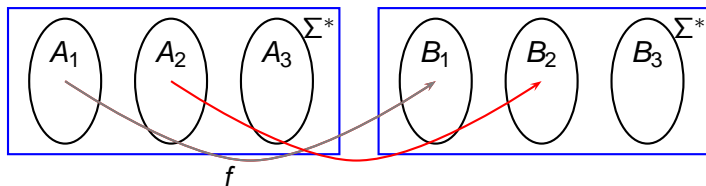
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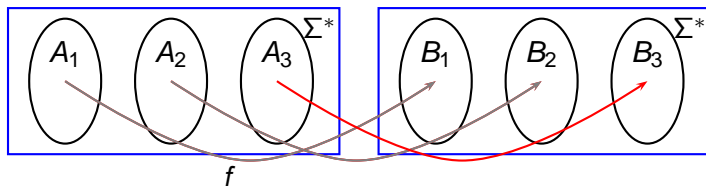
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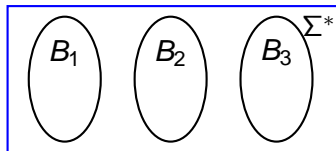
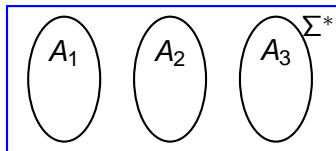
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A Stronger Reduction

Definition

$(A, B) \leq_s (C, D) \stackrel{df}{\iff}$ there exists a polynomial time
computable function f such that $f : A \leq_m^p C$ und
 $f : B \leq_m^p D$.



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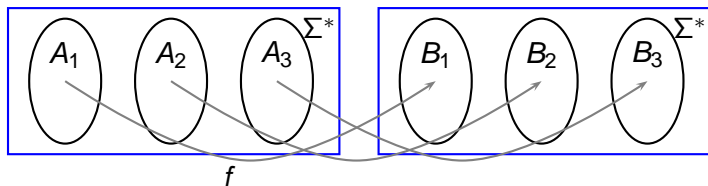
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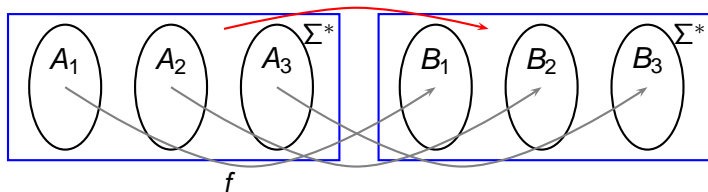
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The Two Reductions are Different.

Theorem

For all $k \geq 2$ the following holds:

- ▶ All p -separable k -tuples are \leq_p -equivalent.
They form the minimal \leq_p -degree of disjoint k -tuples of NP-sets.
- ▶ If $P \neq NP$, then there exist infinitely many \leq_s -degrees of p -separable disjoint k -tuples of NP-sets.

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Problem

Do there exist k -tuples which are **complete** for the class of all disjoint k -tuples of NP-sets?

Definition (Cook, Reckhow 79)

- ▶ A **propositional proof system** is a polynomial time computable function P with $\text{rng}(P) = \text{TAUT}$.
- ▶ A string π with $P(\pi) = \varphi$ is called a **P -proof** of φ .
- ▶ $P \vdash_{\leq m} \varphi \stackrel{\text{df}}{\iff} \varphi$ has a P -proof of size $\leq m$.

Motivation

Proofs can be easily checked.

Examples

truth-table method, resolution, Frege systems

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Definition (Cook, Reckhow 79)

A proof system Q **simulates** a proof system P ($P \leq Q$), if Q -proofs are at most polynomially longer than P -proofs.

Definition

A proof system is **optimal**, if it simulates all other proof systems.

Problem (Krajíček, Pudlák 89)

Do there exist optimal proof systems?

Definition

A **representation of an NP-set** A is a sequence of prop. formulas

$$\varphi_n(\bar{x}, \bar{y}) \quad |\bar{x}| = n$$

such that

- ▶ there exists a polynomial time algorithm which on input 1^n constructs $\varphi_n(\bar{x}, \bar{y})$
- ▶ for all $a \in \{0, 1\}^n$

$$a \in A \iff \varphi_n(\bar{a}, \bar{y}) \text{ is satisfiable.}$$

Representable Disjoint NP-Pairs

Definition

A disjoint k -tuple (A_1, \dots, A_k) of NP-sets is **representable** in a proof system P if there exist representations

$$\varphi_n^i(\bar{x}, \bar{y}^i) \text{ of } A_i \text{ for } i = 1, \dots, k$$

such that

$$P \vdash_* \bigwedge_{1 \leq i < j \leq k} \neg \varphi_n^i(\bar{x}, \bar{y}^i) \vee \neg \varphi_n^j(\bar{x}, \bar{y}^j) .$$

DNPP $_k(P)$ contains all disjoint k -tuples of NP-sets which are representable in P .

Proposition

The representability of a tuple depends on the choice of the representations for A and B .

Definition

To a proof system P we associate a k -tuple $(U_1(P), \dots, U_k(P))$, where $U_i(P)$ contains tuples $(\varphi_1, \dots, \varphi_k, 1^m)$ such that

- ▶ φ_j and φ_l do not share variables for all $1 \leq j < l \leq k$,
- ▶ φ_i is satisfiable, and
- ▶ $P \vdash_{\leq m} \bigwedge_{1 \leq j < l \leq k} \neg \varphi_j \vee \neg \varphi_l$.

Definition

We call a proof system P **normal** if

- ▶ P is **closed under modus ponens**, i.e.

$$P \vdash_{\leq n} \varphi \text{ and } P \vdash_{\leq m} \varphi \rightarrow \psi \implies P \vdash_{\leq p(n+m)} \psi .$$

for some polynomial p .

- ▶ P is **closed under substitutions by constants**, i.e.

$$P \vdash_{\leq n} \varphi(\bar{x}, \bar{y}) \implies P \vdash_{\leq q(n)} \varphi(\bar{a}, \bar{y})$$

for some polynomial q .

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Theorem

For every normal proof system P and every number $k \geq 2$ we have:

- ▶ $\text{DNPP}_k(P)$ is closed under \leq_p for $P \geq \text{Resolution}$.
- ▶ $(U_1(P), \dots, U_k(P))$ is \leq_s -hard for $\text{DNPP}_k(P)$.
- ▶ If P has reflection, then $(U_1(P), \dots, U_k(P))$ is \leq_s -complete for $\text{DNPP}(P)$.

Theorem

The following conditions are equivalent:

1. For all $k \geq 2$ there exist \leq_s -complete disjoint k -tuples of NP-sets.
2. For all $k \geq 2$ there exist \leq_p -complete disjoint k -tuples of NP-sets.
3. There exist \leq_p -complete disjoint NP-pairs.
4. There exists $k \geq 2$ such that there exist \leq_p -complete disjoint k -tuples of NP-sets.
5. There exists a proof system P such that for all $k \geq 2$ all disjoint k -tuples of NP-sets are **representable** in P .
6. There exists a proof system P such that all disjoint NP-pairs are **representable** in P .

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Theorem

The following conditions are equivalent:

- 1. There exists an **optimal** propositional proof system.*
- 2. There exists a proof system that proves the disjointness of all disjoint **k-tuples** of NP-sets with respect to all representations.*
- 3. There exists a proof system that proves the disjointness of all disjoint NP-**pairs** with respect to all representations.*

Corollary

*If optimal proof systems exist, then there exist \leq_s -complete disjoint **k-tuples** of NP-sets for all $k \geq 2$.*

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- ▶ For every propositional proof system P we define **complexity classes** $\text{DNPP}_k(P)$ of disjoint k -tuples of NP-sets.
- ▶ Canonical tuples associated with the proof system P serve as **hard or complete pairs** for $\text{DNPP}_k(P)$.
- ▶ If complete k -tuples exist **for some** $k \geq 2$, then complete k -tuples exist **for all** $k \geq 2$.
- ▶ Optimal proof systems imply complete k -tuples for all $k \geq 2$.