

# Algorithms and Data Structures

## Graphs: Introduction

Ulf Leser

# Content of this Lecture

---

- Graphs
- Definitions
- Representing Graphs
- Traversing Graphs
- Connected Components

# Graphs

---

- There are objects and there are **relations between objects**
- Directed trees can represent **hierarchical relations**
  - Relations that are **asymmetric, cycle-free, binary**
  - Examples: `parent_of`, `subclass_of`, `smaller_than`, ...
- Undirected trees can represent cycle-free, binary relations
- This excludes many (cyclic) real-life relations
  - `friend_of`, `similar_to`, `reachable_by`, `html_linked_to`, ...
- (Classical) **Graphs** can represent all **binary relationships**
- N-ary relationships: **Hypergraphs**
  - `exam(student, professor, subject)`, `borrow(student, book, library)`

# Types of Graphs

---

- Most graphs you will see are **binary**
- Most graphs you will see are **simple**
  - Simple graphs: At most one edge between any two nodes
  - Extension: multigraphs
- Some graphs you will see are undirected, some directed
- This lecture: **Binary, simple (finite) graphs**

# Exemplary Graphs

---

- Classical theoretical model: **Random Graphs**
  - Create every possible edge with a fixed probability  $p$



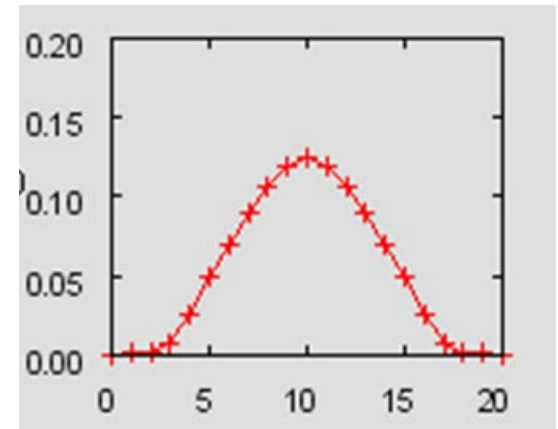
$p = 0.1$



$p = 0.25$



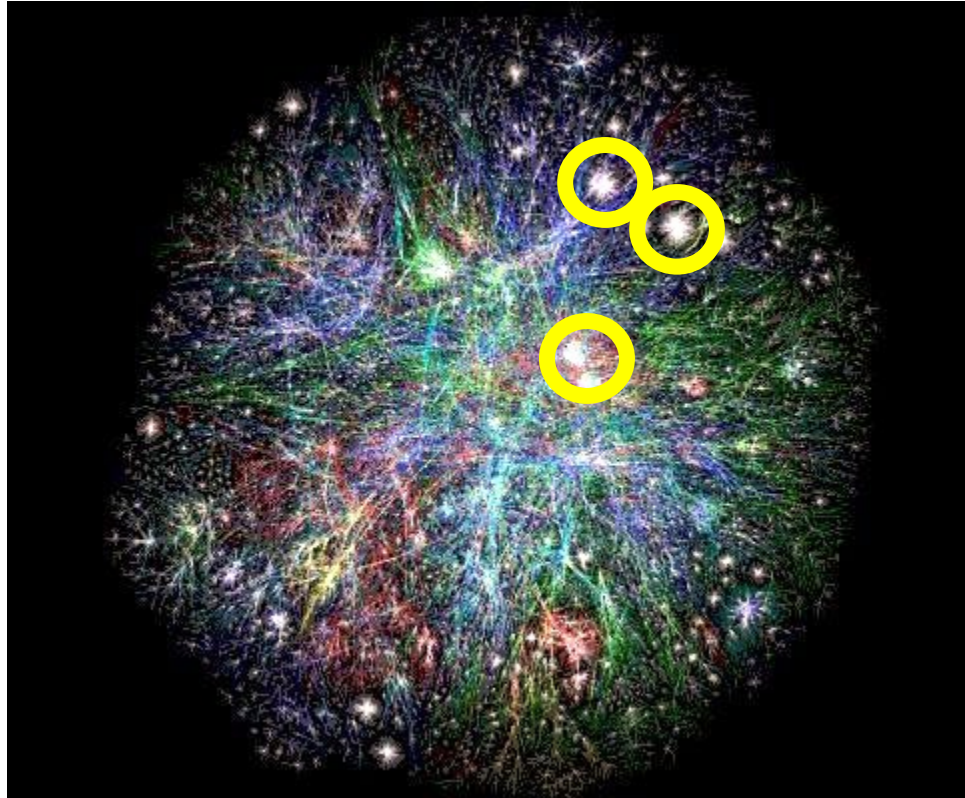
$p = 0.5$



- In a random graph, the **degree of every node has expected value  $p \cdot n$** , and the degree distribution follows a Poisson distribution

# Web Graph

---



Note the  
strong local  
clustering

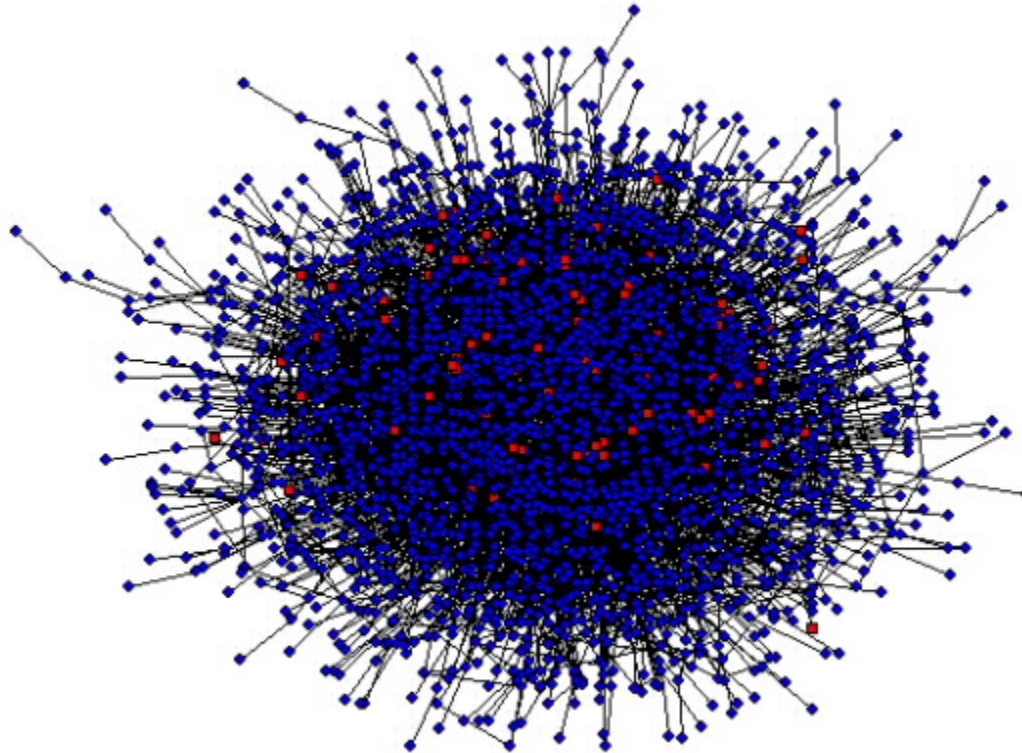
This is **not** a  
**random**  
**graph**

- **Graph layout** is difficult

[[http://img.webme.com/pic/c/chegga-hp/opte\\_org.jpg](http://img.webme.com/pic/c/chegga-hp/opte_org.jpg)]

# Human Protein-Protein-Interaction Network

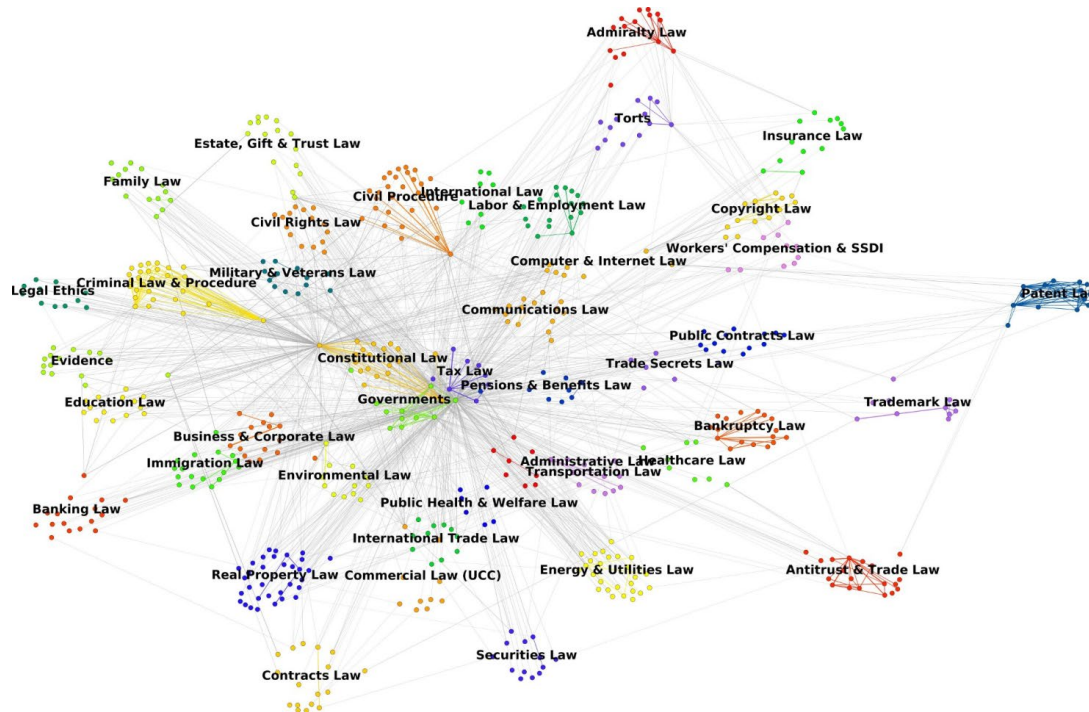
---



- Still terribly incomplete
- Proteins that are **close in the graph** likely share function

[<http://www.estradalab.org/research/index.html>]

# Word Co-Occurrence

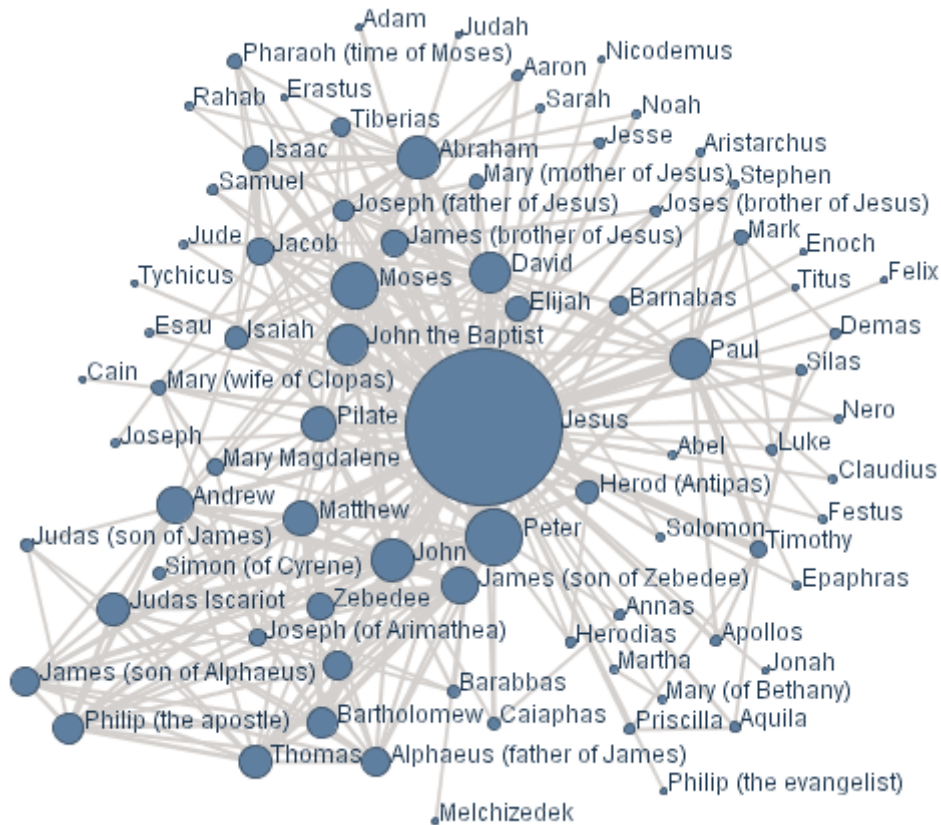


- Words that are close have similar meaning
  - Close: Appear in the same contexts
- Words **cluster into topics**

[<http://www.michaelbommarito.com/blog/>]

# Social Networks

---

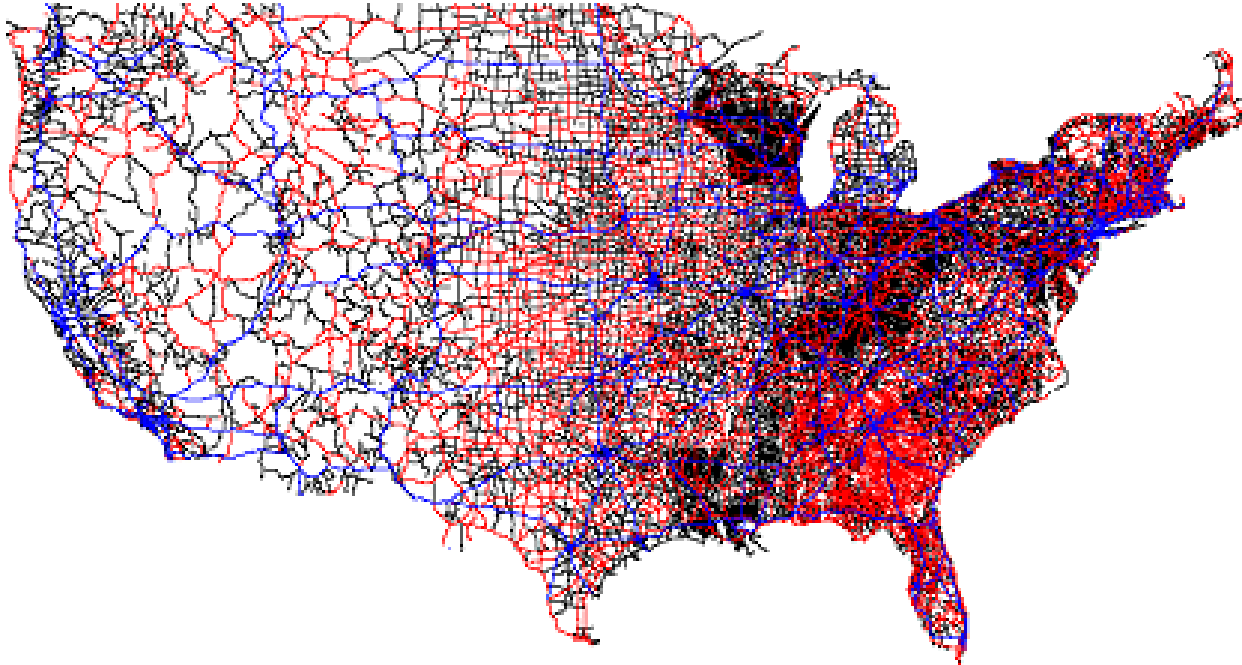


- Six degrees of separation

[<http://tugll.tugraz.at/94426/files/-1/2461/2007.01.nt.social.network.png>]

# Road Network

---



- Specific property: **Planar graphs**

[Sanders, P. & Schultes, D. (2005). Highway Hierarchies Hasten Exact Shortest Path Queries. In *13th European Symposium on Algorithms (ESA)*, 568-579.]

# More Examples

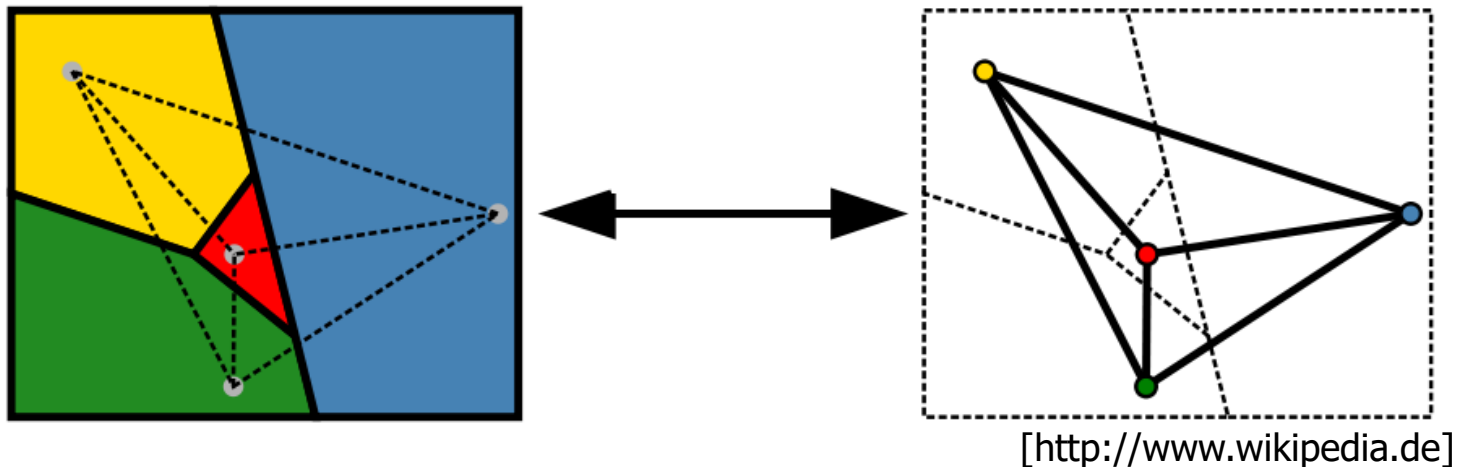
---

- Graphs are also a wonderful abstraction

# Coloring Problem

---

- How many colors do one need to **color a map** such that never two colors meet at a border?

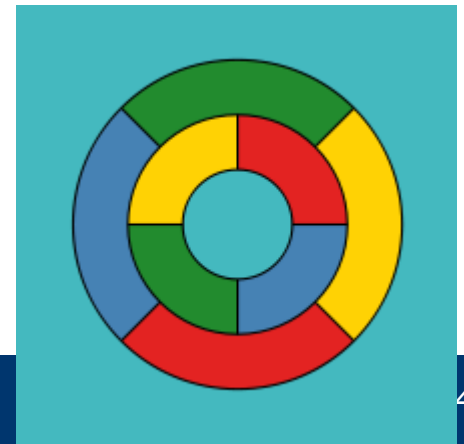
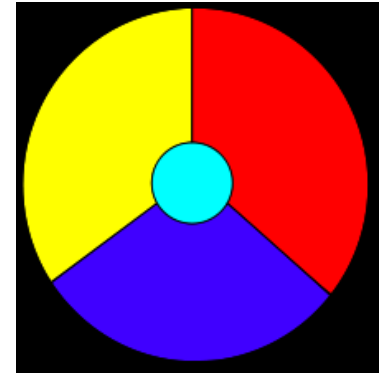
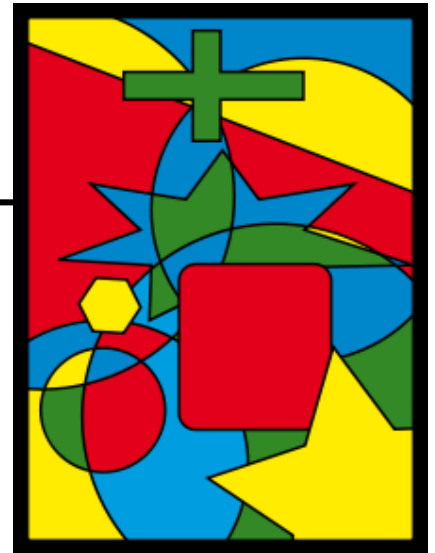


- Chromatic number:** Number of colors sufficient to **color a graph** such that no adjacent nodes have the same color
- Every planar graph has chromatic number of at most 4

# History [Wikipedia.de]

---

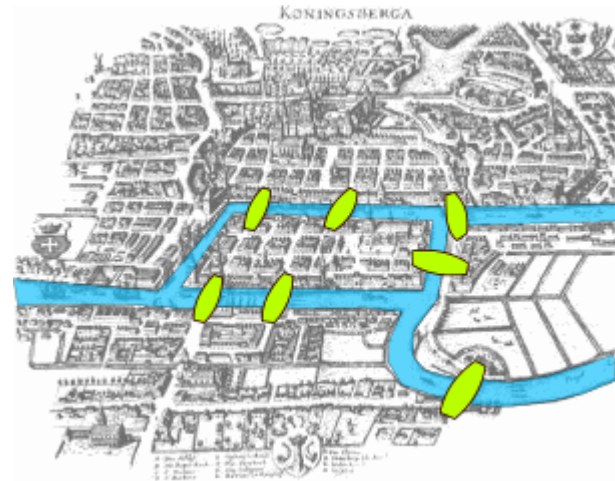
- This is not simple to proof
- It is easy to see that one sometimes needs **at least four colors**
- It is easy to show that one may need arbitrary many colors for general graphs
- First conjecture which until today was **proven only by computers**
  - Falls into many, many subcases – try all of them with a program



# Königsberger Brückenproblem

---

- Given a city with rivers and bridges: Is there a **cycle-free path** crossing every bridge exactly once?
  - Euler-Path

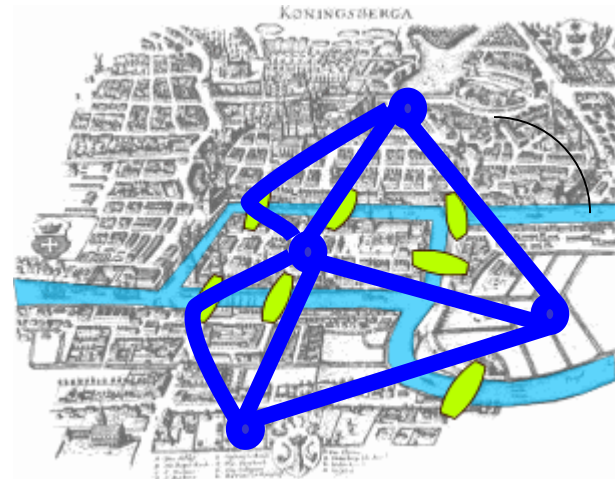


Source: Wikipedia.de

# Königsberger Brückenproblem

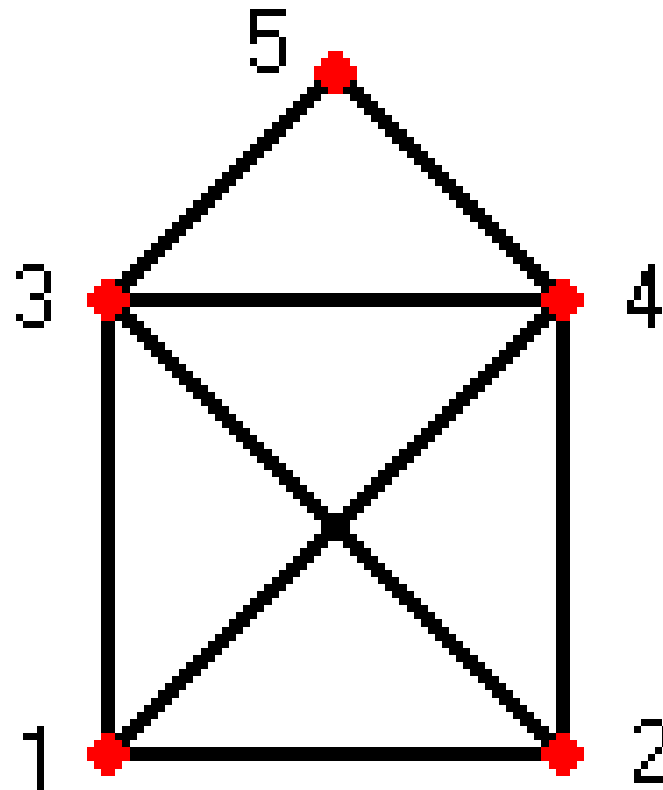
---

- Given a city with rivers and bridges: Is there a cycle-free path **crossing every bridge exactly once**?
  - A graph has an Euler-Path iff it contains 0 or 2 nodes with odd degree
- Hamiltonian path
  - ... visits each **vertex** exactly once
  - NP complete



# Recall?

---



# Content of this Lecture

---

- Graphs
- Definitions
- Representing Graphs
- Traversing Graphs
- Connected Components

# Recall from Trees

---

- Definition

A *graph*  $G=(V, E)$  consists of a set of vertices (nodes)  $V$  and a set of edges ( $E \subseteq V \times V$ ).

- A sequence of edges  $e_1, e_2, \dots, e_n$  is called a *path* iff  $\forall 1 \leq i < n$ :  $e_i = (v_i, v_{i+1})$  and  $e_{i+1} = (v_{i+1}, v_{i+2})$ ; the *length of this path* is  $n$
- A path  $(v_1, v_2), (v_2, v_3), \dots, (v_{n-1}, v_n)$  is *acyclic* iff all  $v_i$  are different
- $G$  is *acyclic*, if no path in  $G$  contains a cycle; otherwise it is cyclic
- A graph is *connected* if every pair of vertices is connected by at least one path
- $G$  is called *undirected*, if  $\forall (v, v') \in E \Rightarrow (v', v) \in E$ . Otherwise it is called *directed*.

# More Definitions

---

- Definition

*Let  $G=(V, E)$  be a directed graph. Let  $v \in V$*

- *The **outdegree**  $out(v)$  is the number of edges with  $v$  as start point*
- *The **indegree**  $in(v)$  is the number of edges with  $v$  as end point*
- *$G$  is **edge-labeled**, if there is a function  $w:E \rightarrow L$  that assigns an element of a set of labels  $L$  to every edge*
- *If  $L$  are numbers (real, int, ...),  $G$  is called **weighted***

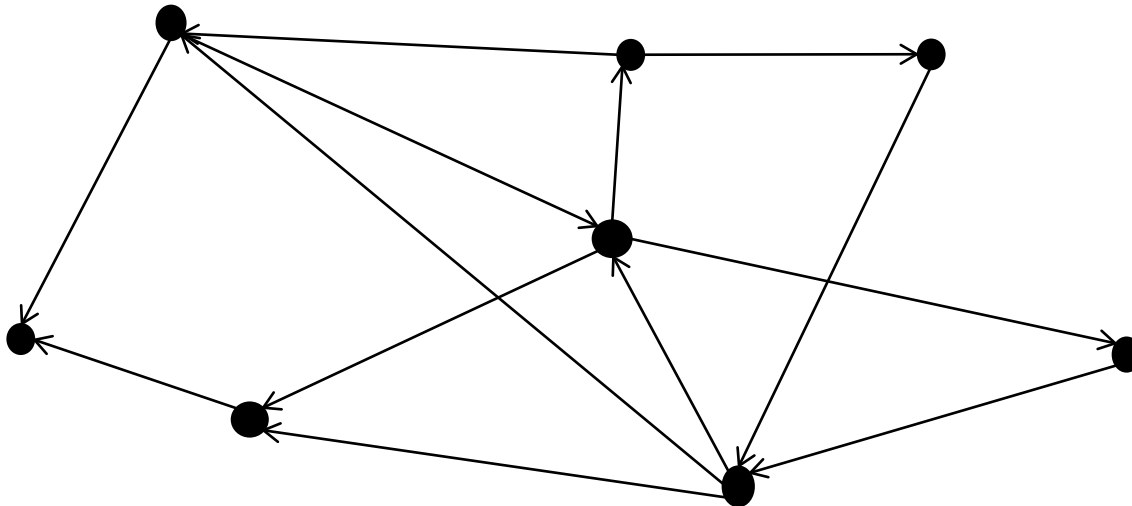
- Remarks

- Labels / weights may be assigned to edges or nodes (or both)
- Indegree and outdegree are identical for undirected graphs and called **degree (number of neighbors)**

# Some More Definitions

---

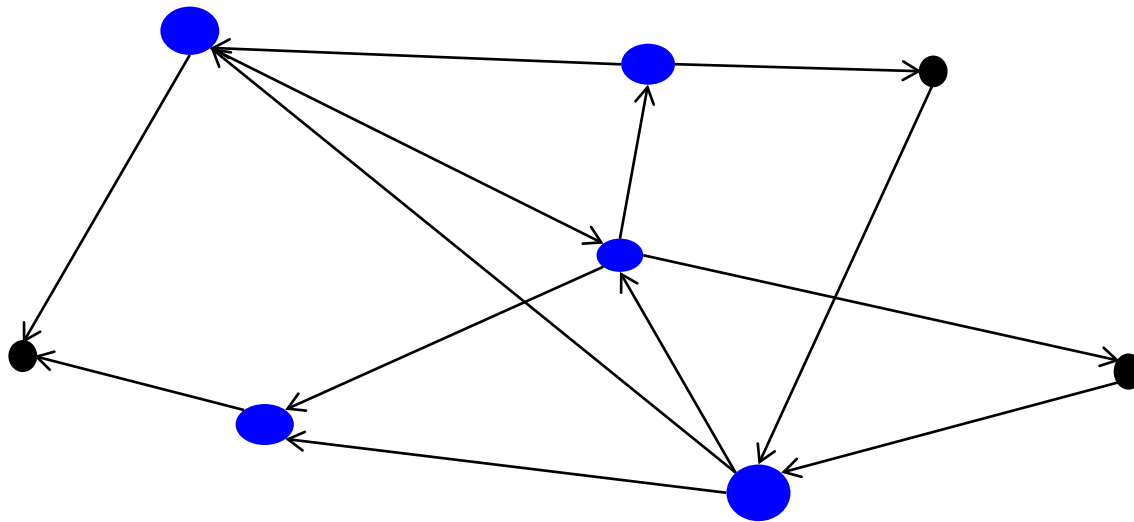
- Definition. Let  $G=(V, E)$  be a directed graph.
  - Any  $G'=(V', E')$  is called a *subgraph of  $G$* , if  $V' \subseteq V$  and  $E' \subseteq E$  and  $\forall (v_1, v_2) \in E': v_1, v_2 \in V'$
  - For any  $V' \subseteq V$ , the graph  $(V', E \cap (V' \times V'))$  is called *the induced subgraph of  $G$*  (induced by  $V'$ )



# Some More Definitions

---

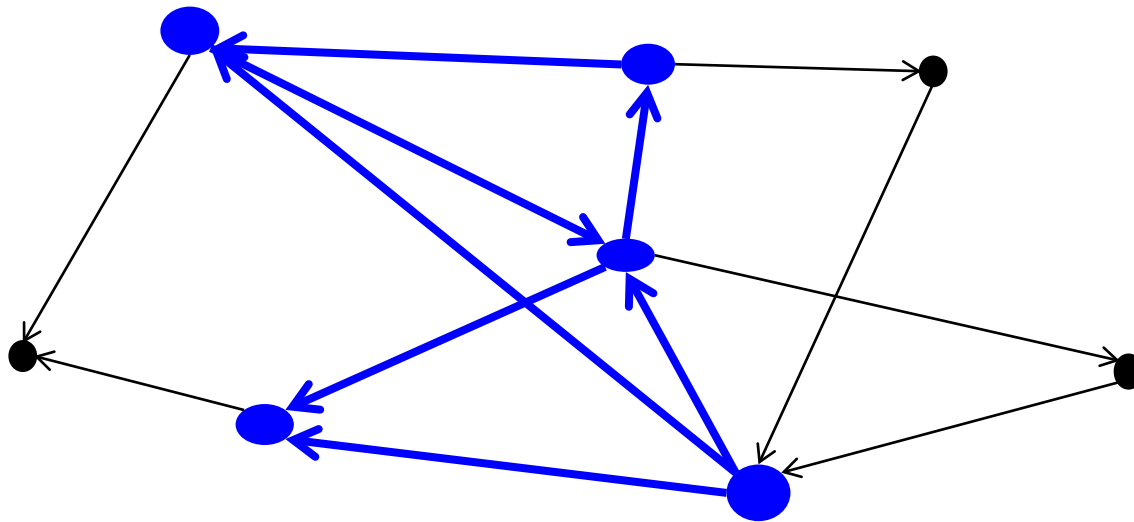
- Definition. Let  $G=(V, E)$  be a directed graph.
  - Any  $G'=(V', E')$  is called a *subgraph of  $G$* , if  $V' \subseteq V$  and  $E' \subseteq E$  and  $\forall (v_1, v_2) \in E': v_1, v_2 \in V'$
  - For any  $V' \subseteq V$ , the graph  $(V', E \cap (V' \times V'))$  is called *the induced subgraph of  $G$*  (induced by  $V'$ )



# Some More Definitions

---

- Definition. Let  $G=(V, E)$  be a directed graph.
  - Any  $G'=(V', E')$  is called a *subgraph of  $G$* , if  $V' \subseteq V$  and  $E' \subseteq E$  and  $\forall (v_1, v_2) \in E': v_1, v_2 \in V'$
  - For any  $V' \subseteq V$ , the graph  $(V', E \cap (V' \times V'))$  is called *the induced subgraph of  $G$*  (induced by  $V'$ )



# Content of this Lecture

---

- Graphs
- Definitions
- Representing Graphs
- Traversing Graphs
- Connected Components

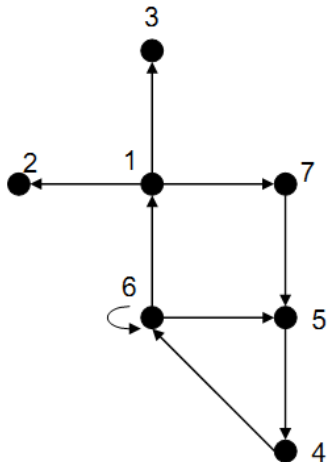
# Data Structures

---

- From an abstract point of view, a graph is a **list of nodes** and a **list of (weighted, directed) edges**
- Two fundamental implementations
  - **Adjacency matrix**
  - **Adjacency lists**
- As usual, the representation determines the complexity of primitive operations
  - E.g. find node, find edge, find neighbors, ...
- Suitability depends on the specific problem under study and the **nature of the graphs**
  - Shortest paths, transitive hull, cliques, spanning trees, ...
  - Random, sparse/dense, scale-free, planar, ...

# Example [OW93]

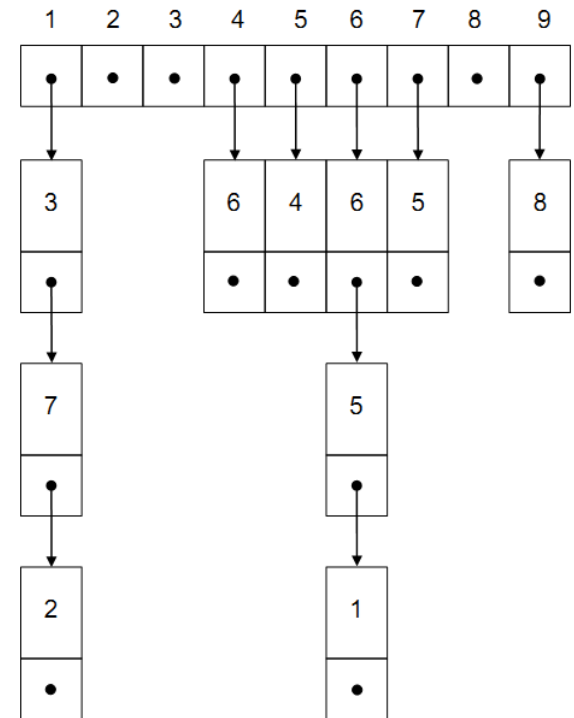
## Graph



## Adjacency Matrix

	1	2	3	4	5	6	7	8	9
1	0	1	1	0	0	0	1	0	0
2	0	0	0	0	0	0	0	0	0
3	0	0	0	0	0	0	0	0	0
4	0	0	0	0	0	1	0	0	0
5	0	0	0	1	0	0	0	0	0
6	1	0	0	0	1	1	0	0	0
7	0	0	0	0	1	0	0	0	0
8	0	0	0	0	0	0	0	0	0
9	0	0	0	0	0	0	0	1	0

## Adjacency List



# Adjacency Matrix

---

- Definition

*Let  $G=(V, E)$  be a **simple** graph. The **adjacency matrix**  $M_G$  for  $G$  is a two-dimensional matrix of size  $|V|*|V|$ , where  $M[i,j]=1$  iff  $(v_i, v_j) \in E$*

- Remarks

- Allows to test existence of a given edge in  $O(1)$
- Requires  $O(|V|)$  to obtain **all incoming (outgoing) edges** of a node
- For large graphs, **M is too large** to be of practical use
- If **G is sparse** (much less edges than  $|V|^2$ ), M wastes a lot of space
- If G is dense, M is a very compact representation (1 bit / edge)
- In labeled graphs,  $M[i,j]$  contains the label
- Since M must be initialized with zero's, without further tricks all algorithms working on **adjacency matrices are in  $\Omega(|V|^2)$**

# Adjacency List

---

- Definition

*Let  $G=(V, E)$ . The **adjacency list**  $L_G$  for  $G$  is a list of all nodes  $v_i$  of  $G$ . The entry representing  $v_i \in V$  is a list of all edges outgoing (or incoming or both) from  $v_i$ .*

- Remarks (assume a fixed node  $v$ )

- Let  $k$  be the **maximal outdegree** of  $G$ . Then, accessing an edge outgoing from  $v$  is  $O(\log(k))$  (if list is sorted; or use hashing)
- Obtaining a list of all outgoing edges from  $v$  is in  $O(k)$ 
  - If only outgoing edges are stored, obtaining a list of all incoming edges is  $O(|V| \cdot \log(|E|))$  – we need to search all lists
  - Therefore, usually **outgoing and incoming edges are stored**, which doubles space consumption
- If  $G$  is sparse,  $L$  is a compact representation
- If  $G$  is dense,  $L$  is wasteful (many pointers, many IDs)

# Comparison

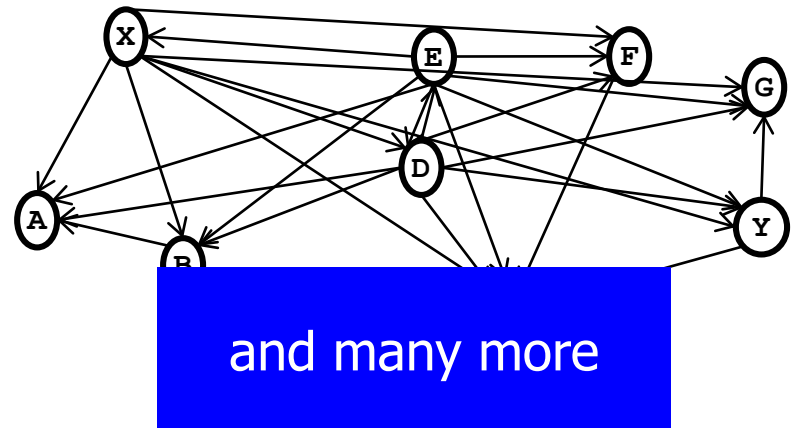
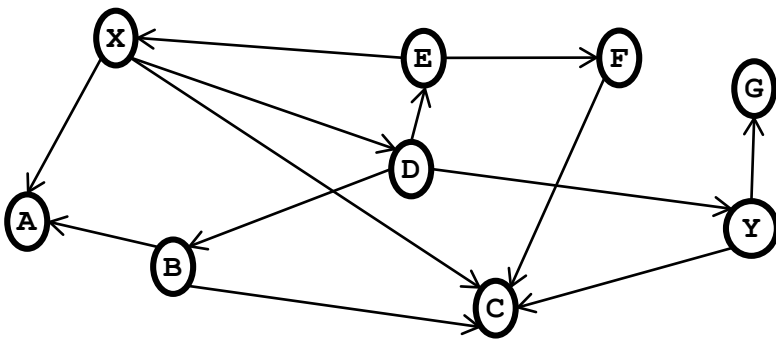
---

	<b>Matrix</b>	<b>Lists</b>
Test if a given edge exists	$O(1)$	$O(\log(k))$
Find all outgoing edges of a given $v$	$O(n)$	$O(k)$
Space of $G$	$O(n^2)$	$O(n+m)$

- With  $n=|V|$ ,  $m=|E|$
- We assume a node-indexed array
  - $L$  is an array and nodes are uniquely numbered
  - We find the list for node  $v$  in  $O(1)$
  - Otherwise,  $L$  has additional costs for finding  $v$

# Transitive Closure

- Definition  
*Let  $G=(V,E)$  be a digraph and  $v_i, v_j \in V$ . The **transitive closure** of  $G$  is a graph  $G'=(V, E')$  where  $(v_i, v_j) \in E'$  iff  $G$  contains a path from  $v_i$  to  $v_j$ .*
- TC usually is dense and represented as adjacency matrix
- Compact encoding of **reachability information**



# Content of this Lecture

---

- Graphs
- Definitions
- Representing Graphs
- Traversing Graphs
- Connected Components

# Graph Traversal

---

- One thing we often do with graphs is traversal
- “Traversal” means: Visit every node exactly once in a sequence determined by the graph’s topology
  - Not necessarily on one consecutive path (Hamiltonian path)
- Two popular orders
  - Depth-first: Using a stack
  - Breadth-first: Using a queue
  - The scheme is identical to that in tree traversal
- Difference
  - We have to take care of cycles
  - No root – where should we start?

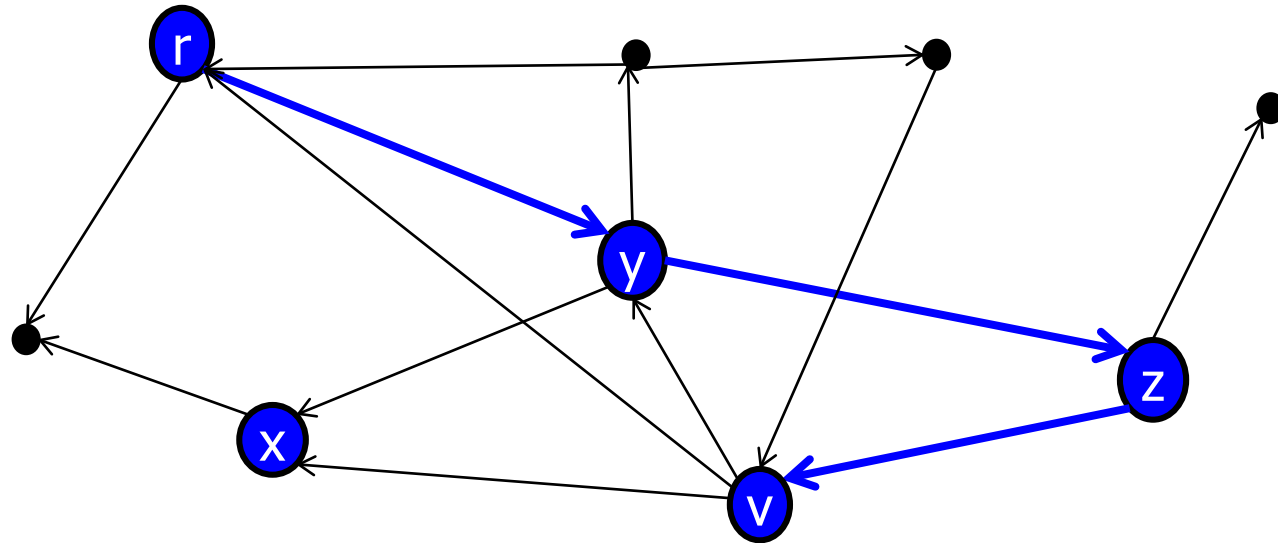
# Breaking Cycles

---

- Any naïve traversal will visit **nodes more than once**
  - If there is at least one node with more than one incoming edge
- Any naïve traversal will **run into infinite loops**
  - If the graphs contains at least one cycle (is cyclic)
- Breaking cycles / avoiding multiple visits
  - Assume we started the traversal at a node  $r$
  - During traversal, we keep a list  $S$  of **already visited nodes**
  - Assume we are in  $v$  and aim to proceed to  $v'$  using  $e=(v, v')\in E$
  - If  $v'\in S$ ,  $v'$  was visited before and we are about to run into a cycle or visit  $v'$  twice
  - In this case,  **$e$  is ignored**

# Example

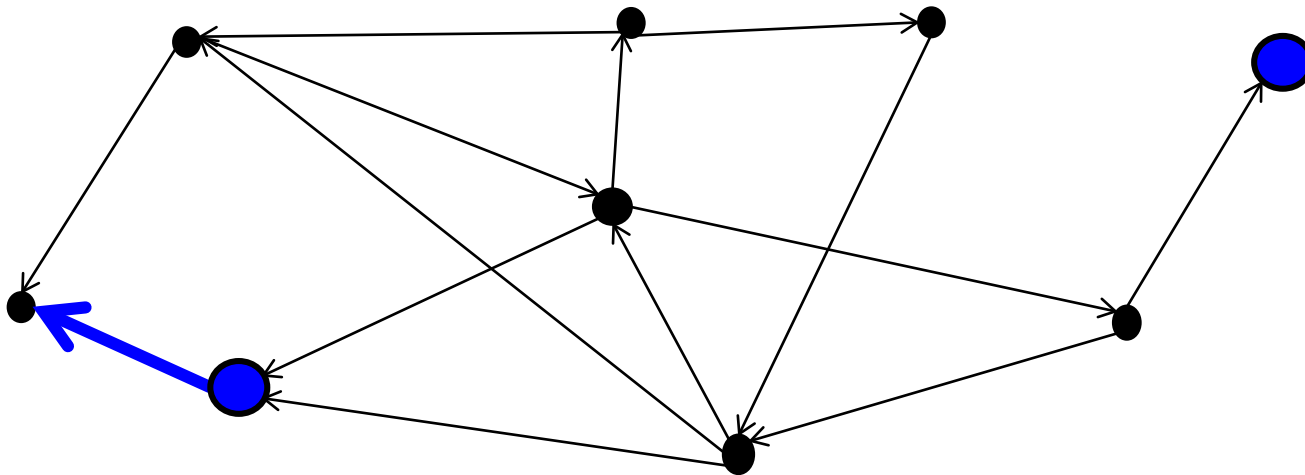
---



- Started at  $r$  and went  $S = \{r, y, z, v\}$
- Testing  $(v, y)$ :  $y \in S$ , drop
- Testing  $(v, r)$ :  $r \in S$ , drop
- Testing  $(v, x)$ :  $x \notin S$ , proceed

# Where do we Start?

---



# Where do we Start?

---

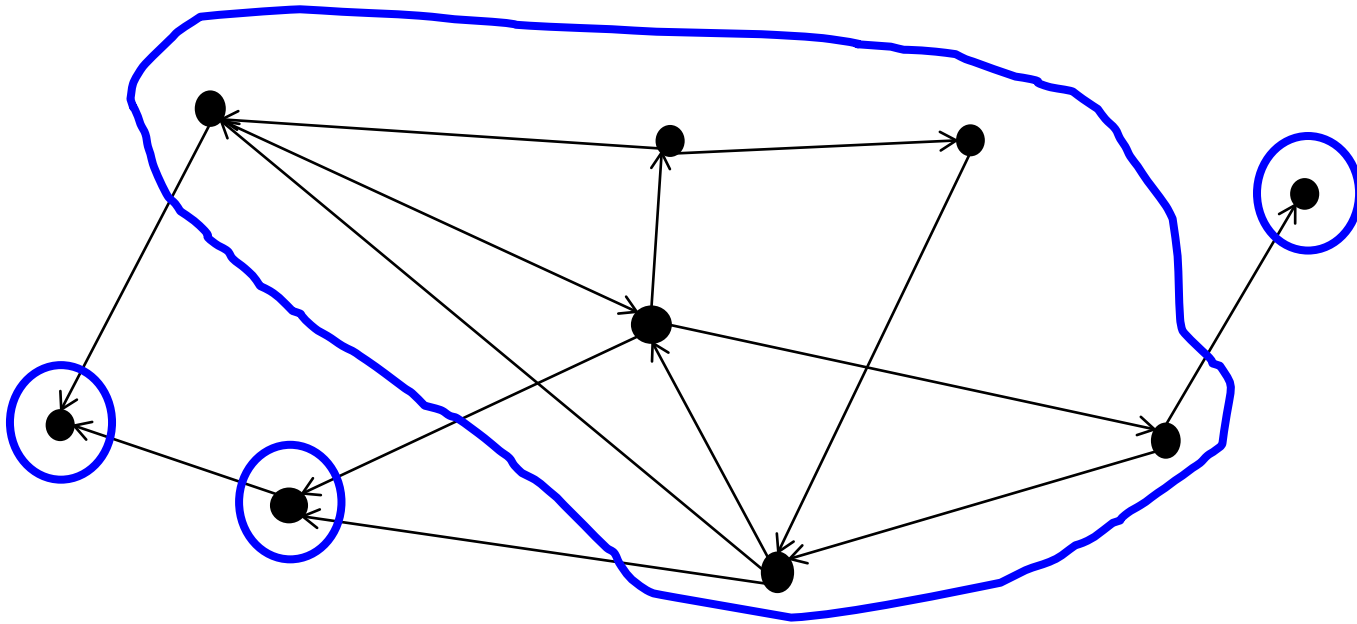
- Definition

*Let  $G=(V, E)$ . Let  $V' \subseteq V$  and  $G'$  be the subgraph of  $G$  induced by  $V'$*

- *$G'$  is called **connected** if it contains a path between any pair  $v, v' \in V'$*
- *$G'$  is called **maximally connected**, if no subgraph induced by a superset of  $V'$  is connected*
- *If  $G$  is undirected, any maximal connected subgraph of  $G$  is called a **connected component** of  $G$*
- *If  $G$  is directed, any maximal connected subgraph of  $G$  is called a **strongly connected component** of  $G$*

# Example

---



# Where do we Start?

---

- If a undirected graph falls into several connected components, we **cannot** reach all nodes by a single traversal, no matter which node we use as start point
- If a digraph falls into several strongly connected components, we **might not** reach all nodes by a single traversal
- Remedy: If the traversal gets stuck, we **restart at unseen nodes** until all nodes have been traversed

# Depth-First Traversal on Directed Graphs

---

```
func void DFS (G=(V,E)) {  
    U := V;      # Unseen nodes  
    while U≠∅ do  
        v := getNextUnseen( U );  
        traverse( G, v, U );  
    end while;  
}
```

Called once for  
every connected  
component

```
func void traverse (G, v node,  
                  U set) {  
    t := new Stack();  
    t.put( v );  
    U := U \ {v};  
    while not t.isEmpty() do  
        n := t.pop();  
        print n;  
        c := n.outgoingNodes();  
        foreach x in c do  
            if x∈U then  
                U := U \ {x};  
                t.push( x );  
            end if;  
        end for;  
    end while;  
}
```

# Analysis

---

- We put **every node exactly once** on the stack
  - Once visited, never visited again
- We look at **every edge exactly once**
  - Outgoing edges of a visited node are never considered again
- U can be implemented as bit-array of size  $|V|$ , allowing  $O(1)$  operations
  - Add, remove, getNextUnseen
- Altogether:  **$O(n+m)$**

```
func void traverse (G, v node,
                  U set) {
    t := new Stack();
    t.put( v );
    U := U \ {v};
    while not t.isEmpty() do
        n := t.pop();
        print n;
        c := n.outgoingNodes();
        foreach x in c do
            if x ∈ U then
                U := U \ {x};
                t.push( x );
            end if;
        end for;
    end while;
}
```

# Content of this Lecture

---

- Graphs
- Definitions
- Representing Graphs
- Traversing Graphs
- Connected Components

# In Undirected Graphs

---

- In an undirected graph, whenever there is a path from  $r$  to  $v$  and from  $v$  to  $v'$ , then there is also a path from  $v'$  to  $r$ 
  - Simply go the path  $r \rightarrow v \rightarrow v'$  backwards
- Thus, DFS (and BFS) traversal can be used to **find all connected components** of a undirected graph  $G$ 
  - Whenever you call `traverse(v)`, **create a new component**
  - All nodes visited during one call of `traverse(v)` form one connected component
- Obviously in  $O(n+m)$

# In Digraphs

---

- The problem is considerably more complicated for digraphs
  - Previous conjecture does not hold
- Still: Tarjan's or Kosaraju's algorithm find all **strongly connected components** in  $O(n + m)$ 
  - See next lecture

# Possible Examination Questions

---

- Let  $G$  be an undirected graph and  $S, T$  be two connected components of  $G$ . Proof that  $S$  and  $T$  must be disjoint, i.e., cannot share a node.
- Let  $G$  be an undirected graph with  $n$  vertices and  $m$  edges,  $m \leq n^2$ . What is the minimal and what is the maximal number of connected components  $G$  can have?
- Let  $G$  be a positively edge-weighted digraph  $G$ . Design an algorithm which finds the longest acyclic path in  $G$ . Analyze the complexity of your algorithm.
- An Euler path through an undirected graph  $G$  is a cycle-free path from any start to any end node that hits every node of  $G$  (exactly once). Give an algorithm which tests for an input graph  $G$  whether it contains an Euler path.