



Algorithms and Data Structures

Asymptotic Complexity

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Basierend auf den Folien von Ulf Leser

Content of this Lecture

- Efficiency of Algorithms
- Machine Model
- Complexity
- Examples

Efficiency of Algorithms

- Algorithms have an **input** and solve a **defined problem**
 - Sort this list of names
 - Compute the running 3-month average over this table of 10 years of daily revenues
 - Find the shortest path between node X and node Y in this graph with n nodes and m edges
- Research in algorithms **focuses on efficiency**
 - Efficiency: Use as few resources as possible for solving the task
 - Resources: CPU cycles, memory cells, (network traffic, disk IO, ...)
- How can we **measure efficiency** for different inputs?
- How can we **compare the efficiency** of two algorithms solving the same problem?

Option 1: Use a Reference Machine

- Empirical evaluation
 - Chose a **concrete machine** (CPU, RAM, BUS, ...)
 - Or many different machines
 - Chose a **set of different input** data sets (workloads)
 - The more, the better
 - Real, synthetic, realistic, ...
 - Run algorithm on all inputs and **measure** time (or space or ...)
- Pro: Gives real runtimes and practical guidance
- Contra
 - Will all potential users have this machine?
 - Performance dependent on prog language and **skill of engineer**
 - Are the datasets used **typical** for what we expect in an application?
 - Can we extrapolate **results beyond the given data sets**?

Option 2: Computational Complexity

- Derive an estimate of the maximal (worst-case) number of operations as a function of the input
 - “For an input of size n , the alg. will perform “ $\sim n^3$ ” operations”
 - Abstraction: Define a (realistic) model of a machine
- Advantages
 - Analyses the abstract algorithm, not its concrete implementation
 - Independent of concrete hardware; future-proof
- Disadvantages
 - No real runtimes, no practical guidance
 - What is an operation? What do we count?
 - Requires assumptions on the cost of primitive operations
 - Assumes that all machines offer the same set of operations

Next steps

- In this lecture, we **focus on complexity**
 - Note again: When it comes to practical problems, complexity is not everything
 - There can be extremely large runtime differences between algorithms having the same complexity
 - Difference between theoretical and practical computer science
- We need to define what we count: **Machine model**
- We need to define how we estimate: **O-notation**

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Our Machine Model: RAM

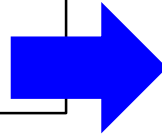
- Very simple model: **Random Access Machines** (RAM)
- Work: What a **traditional CPU** can execute in **1 cycle**
 - Addition, comparison, jumps, ...
 - Forget multi-core, disks, ALUs, GPUs, FPGA, cache levels, pipelining, hyper-threading, ...
 - Note: There are machine models for many of these variations
- Space: Infinite amount of **storage cells**
 - Each cell holds one (possibly infinitely large) value (number)
 - Separate program storage – no interference with data
 - Cells are addressed by consecutive integers
 - Access to each cell in one CPU cycle
 - Special treatment of input and output
 - One special register (switch) storing **results of a comparison**

Operations

- Load **value into cell**, move value from cell to cell
 - `LOADv 3, 5`; Load value "5" in cell 3
 - `LOAD 3, 5`; Copy value of cell 5 into cell 3
- Add/subtract/multiply/divide value/cell to/from/by cell and store in cell
 - `ADDv 3, 5, 6`; Add "6" to value of cell 5 and store result in cell 3
 - `ADD 3, 5, 6`; Add value of cell 6 to value of cell 5 and store in cell 3
- **Compare values** of two cells
 - `CMP 4, 2`; If equal, set switch to **TRUE**, otherwise to **FALSE**
- **Jump to position 10 if switch is TRUE**: `IFTRUE 10`;
- Jump to position 5: `GOTO 5`;
- Stop
 - `RET 6`; Returns value of cell 6 as result and stop

Example: x^y (for $y > 0$)

```
input
  x,y: integer;
t: integer;
i: integer;
t := x;
for i := 1 ... y-1 do
  t := t * x;
end for;
return t;
```



```
1. LOADv 1, x;      # provide input
2. LOADv 2, y;
3. LOAD 3, 1;       # t := x
4. LOADv 4, 1;     # i := 1
5. CMP 4, 2;       # check i = y
6. IFTRUE 10;
7. MULT 3, 3, 1;   # t := t*x
8. ADDv 4, 4, 1;   # i := i+1
9. GOTO 5;
10.RET 3;          # return t
```

4 Registers:

1: x

2: y

3: t

4: i

Cost Models

- We count the **number of operations** (time) performed and the **number of cells** (space) required
- This is called **uniform cost model** (UCM)
 - Every operation costs time 1, every value needs space 1
 - **Not realistic**
 - Data access has non-uniform cost (cache lines)
 - Comparing two real numbers costs more work than two integers
 - ...
- Alternative model: **Machine cost** (logarithmic cost)
 - Consider concrete machine representation of every data element
 - Cells hold **1 byte** – how many bytes do I need?
 - More realistic, yet more complex
 - Derives **identical complexity results** as UCM for most sensible cases

Counting Operations in the RAM Model with UCM

```
1. LOADv 1, x;    # input
2. LOADv 2, y;
3. LOAD 3, 1;     # t := x
4. LOADv 4, 1;   # i := 1
5. CMP 4, 2;     # check i=y
6. IFTRUE 10;
7. MULT 3, 3, 1; # t := t*x
8. ADDv 4, 4, 1; # i := i+1
9. GOTO 5;
10. RET 3;       # return t
```

- If $y > 1$
 - Startup (lines 1-4) costs 4
 - Loop (line 5) is passed y times
 - $(y-1)$ -times costs 5 (lines 5-9)
 - 1-time costs 2 (lines 5-6)
 - Return costs 1
 - Total costs: $4 + (y - 1) \cdot 5 + 3$
- If $y = 1$
 - Total costs: $7 = 4 + (y - 1) \cdot 5 + 3$

Selection Sort: Uniform versus Machine Cost

```
1. S: array_of_names;
2. n := |S|
3. for i := 1..n-1 do
4.   for j := i+1..n do
5.     if S[i]>S[j] then
6.       tmp := S[i];
7.       S[i] := S[j];
8.       S[j] := tmp;
9.     end if;
10.  end for;
11. end for;
```

- With UCM, we showed $f(n) \sim 4n^2 - 3n$
 - But: Every cell needs to hold a name = string of arbitrary length
 - We used a **UCM including strings**
- Towards machine cost
 - Assume max length m for a string $S[i]$
 - Then, line 5 costs **m comps in WC**
 - Lines 6-8; additional cost for loops for copying char-by-char
- We did not consider super-long strings ($n > 2^{64}$), or super-large alphabets (char comp always in 1 cycle?)

Conclusions

- We usually **assume RAM with uniform cost**, but will not give the RAM program itself
 - Translation from pseudo code is simple and adds only constant costs per operation – which we will (later) ignore anyways
- We assume UCM for primitive data types: numbers, strings
 - We will sometimes look at strings in more detail
 - More **complex data type** (lists, sets etc.) will be analyzed in detail
- When analyzing real programs, many more issues arise
 - Performance killer in Java: Garbage collection
 - Performance trick in Java: Object reuse
 - Performance killer in Java: `new Vector(1,1);`
 - ...

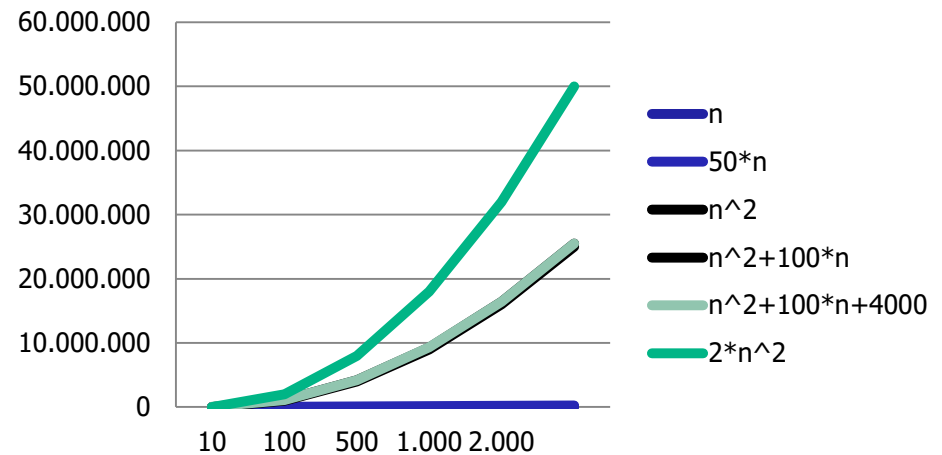
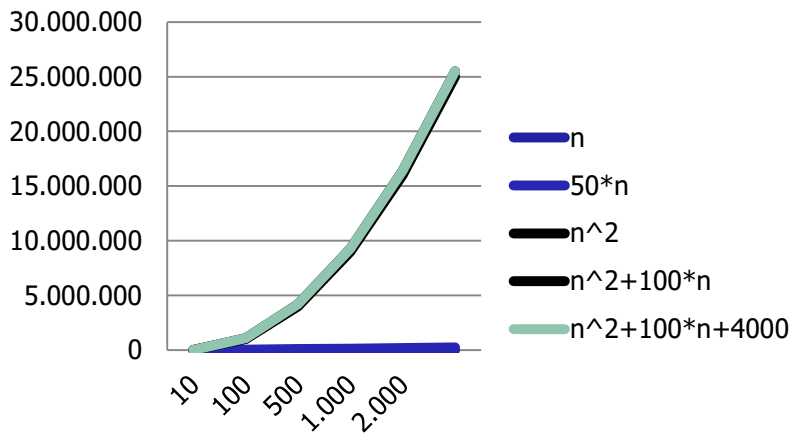
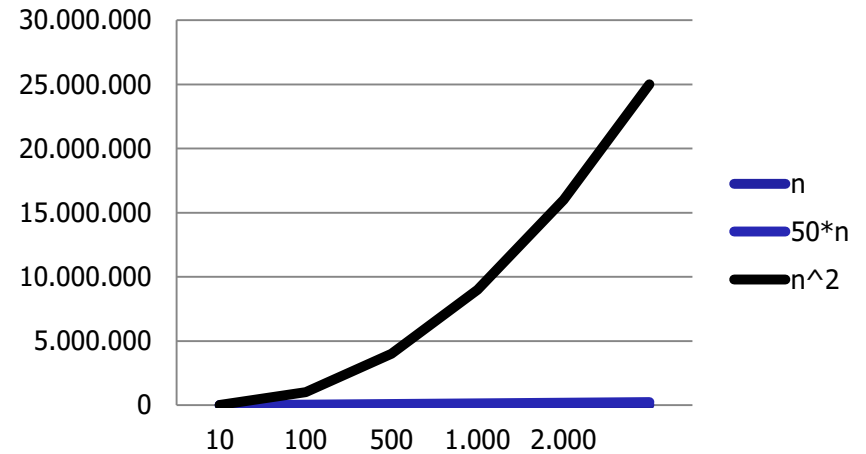
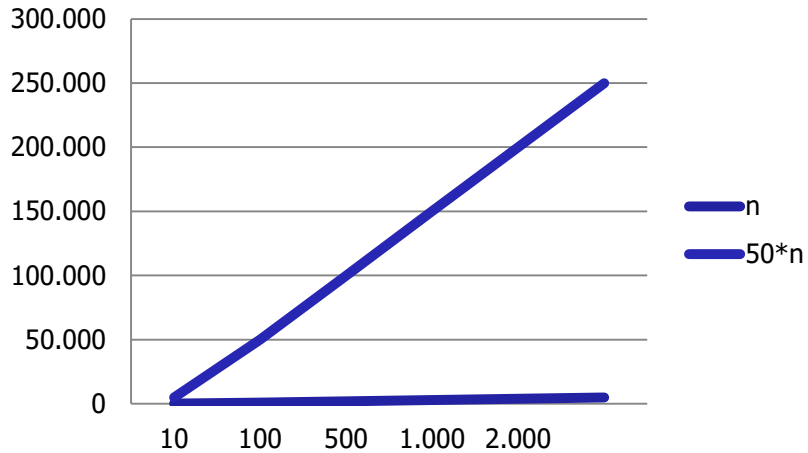
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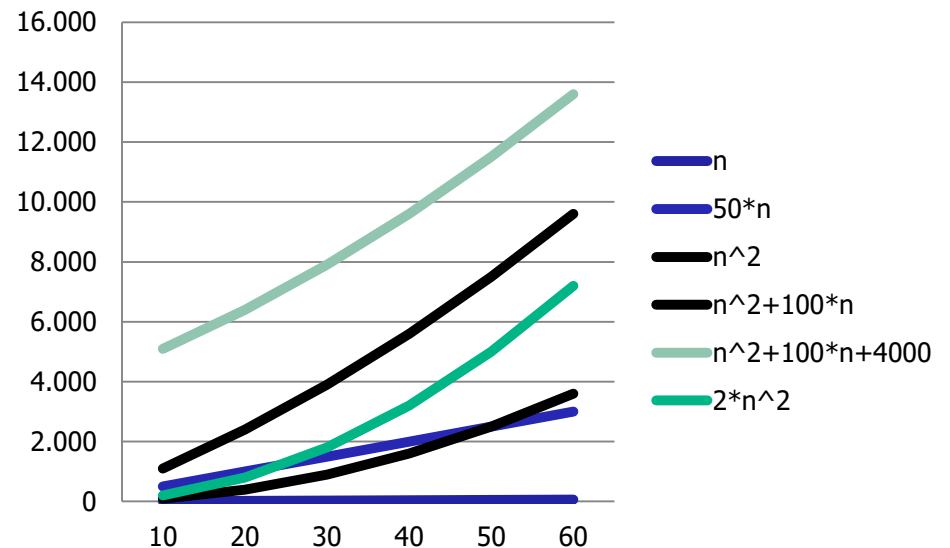
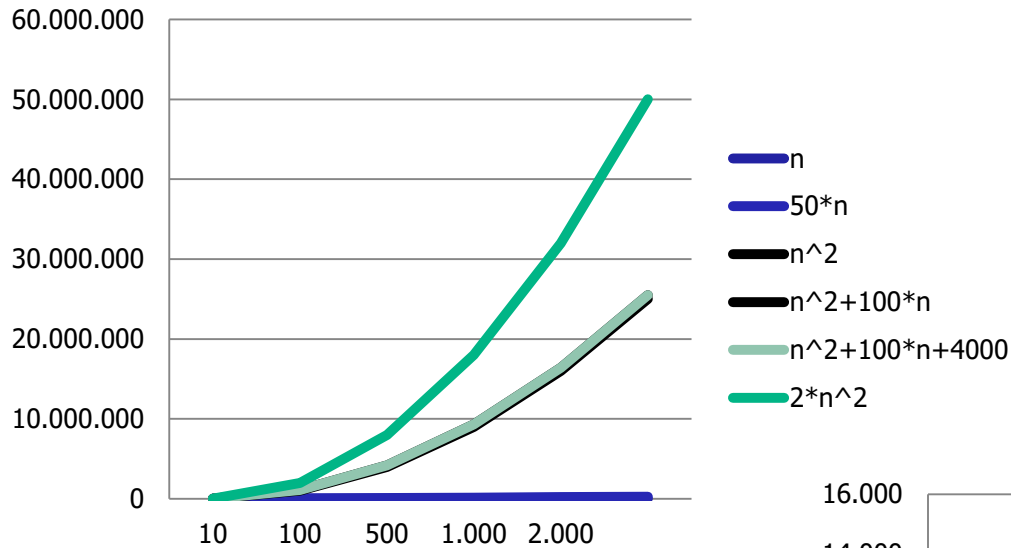
Complexity

- Counting the **exact number of operations** for an algorithm (wrt. input size) seems overly complicated
 - **Linear scale-ups** are often possible by using newer/more hardware
 - Estimations need not be good **for all cases** - for small inputs, many algorithms are lightning-fast anyway
 - We don't want long formulas – focus on the **dominant factors**
- Intuitive goal: Analyze the major cost drivers when the input size gets “large”
- **Asymptotic complexity** – analyze algorithmic behavior if input size goes to infinity

Examples



Small Values



Intuitive Observations

- Everything except the term with the **highest exponent** doesn't matter much, once n is large enough
- This term can have a factor, but the effect of this factor usually can be outweighed by **newer/more machines**
 - Therefore, we do not consider it
- Assume we have developed a polynomial $f(n)$ capturing the exact cost of an algorithm A for input size n
- Intuitively, the **complexity of A is the term in f with the highest exponent** after stripping linear factors

Overview

- Assume $f(n)$ gives the **number of operations** performed by alg. A in worst case for an input of size n
- We are interested in the **essence of f** , i.e., the dominating factors when n grows large
- We do this by defining a hierarchy of classes of functions
 - For a function g , define the set $O(g)$ as the class of functions that is **asymptotically smaller than or equal to g**
 - We want a simple g ; simpler than f
 - If $f \in O(g)$, then f will be asymptotically smaller than or equal to g
 - I.e.: for large input sizes, the number of ops counted by f will be smaller than or equal to the one estimated through g
 - Asymptotically, **g is an upper bound for f**
 - Not necessarily the lowest

Formally: O-Notation

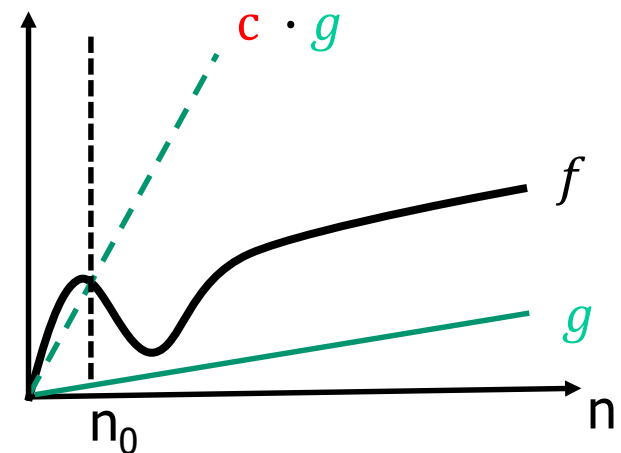
- Definition

Let $g: \mathbb{N}_0^+ \rightarrow \mathbb{R}_0^+$, $O(g)$ is the class of functions defined as $O(g) = \{f: \mathbb{N}_0^+ \rightarrow \mathbb{R}_0^+ \mid \exists c > 0, \exists n_0 \geq 0, \forall n \geq n_0: f(n) \leq c \cdot g(n)\}$

- Explanation

- $O(g)$ is the class of all functions which compute lower or equal values than g for any sufficiently large n , ignoring linear factors
- $O(g)$ is the class of functions that are **asymptotically smaller than or equal** g

- If $f \in O(g)$, we say that “ f is in $O(g)$ ” or “ f is $O(g)$ ” or “ f has complexity $O(g)$ ”



More Examples

$$O(g) = \{f: \mathbb{N}_0^+ \rightarrow \mathbb{R}_0^+ \mid \exists c > 0, \exists n_0 \geq 0, \forall n \geq n_0: f(n) \leq c \cdot g(n)\}$$

1. $f(n) = 3n^2 + 6n + 7$ is $O(n^2)$
2. $f(n) = n^3 + 7000n - 300$ is $O(n^3)$
3. $f(n) = 4n^2 + 200n^2 - 100$ is $O(n^2)$
4. $f(n) = \log(n) + 300$ is $O(\log(n))$
5. $f(n) = \log(n) + n$ is $O(n)$
6. $f(n) = n \cdot \log(n)$ is $O(n \cdot \log(n))$
7. $f(n) = 10$ is $O(1)$
8. $f(n) = n^2$ is $O(n^3)$ but also $O(n^2)$
or $O(n^4)$, $O(n^2 \log n)$, ...

- **Proof-Example: First $f(n)$**

- We need to show:

$$f(n) \in O(n^2) \Rightarrow \exists c \exists n_0: f(n) \leq cn^2$$

- Choose $c = 16$ and $n_0 = 1$

- Now, for $n > 1 = n_0$:

$$\begin{aligned} &\Rightarrow 3n^2 + 6n + 7 \\ &\leq 3n^2 + 6n^2 + 7n^2 \\ &= 16n^2 = cn^2 \end{aligned}$$

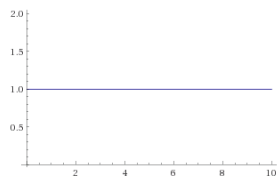
- Would also work for $c=17, 18, \dots$

- **Concrete choice of values of c and n_0 don't matter**

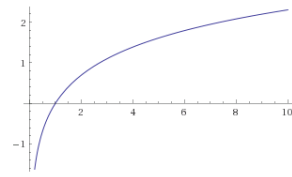
- Especially: No need to search for smallest values for proving complexity

Common Complexity Classes

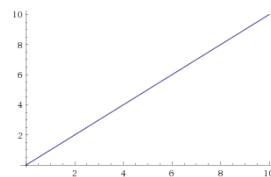
- $O(1)$: constant (Array Access)
 - $O(\log n)$: logarithmic (Binary Search)
 - $O(n)$: linear (Sequential Search)
 - $O(n \log n)$: linear logarithmic (MergeSort)
 - $O(n^2)$: quadratic (Selection Sort, BubbleSort, QuickSort)
 - $O(n^k)$: polynomial (Floyd-Warshall)
 - $O(2^n)$: exponential (Knapsack Problem)
- Much research is focused on finding good solutions for difficult problems



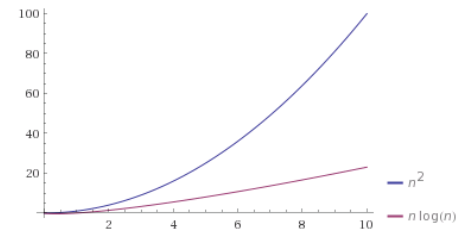
constant



logarithmic

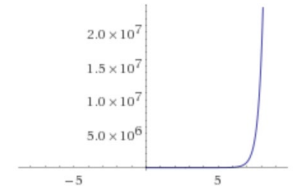


linear



linear
logarithmic

polynomial



exponential

3

General Result

- Lemma: *All constant functions are in $O(1)$*
 - Let $f(n) = k$ for some constant $k > 0$
 - Let $g(n) = 1$
 - We need to show that $f \in O(g) \Leftrightarrow k \in O(1) \Rightarrow \exists c \exists n_0: k \leq c \cdot 1$
- Examples:
 - $f(n) = 10^6$ is $O(1)$
 - $f(n) = 3$ is $O(1)$
- Proof:
 - Chose $c = k$ and $n_0 = 0$
 - Clearly: $\forall n \geq n_0$, we now have $f(n) = k \leq c \cdot g(n) = k \cdot 1$
- Any part of an algorithm whose extend of **work is independent of input size n** can be summarized as $O(1)$

Calculating with Complexities

```
1. S: array_of_names;
2. n := |S|
3. for i := 1..n-1 do
4.   for j := i+1..n do
5.     if S[i]>S[j] then
6.       tmp := S[i];
7.       S[i] := S[j];
8.       S[j] := tmp;
9.     end if;
10.  end for;
11.end for;
```

- Usually, we want to derive the complexity of a program **without calculating** its exact cost
 - Estimate **a tight g** without knowing f
- Some observations
 - Having **many ops with cost 1** yields the same complexity as having only 1
 - Lines 5-8 cost 4 times $1 \in O(1)$
 - If we see a **polynomial**, we can forget terms except the largest
 - As we certainly need $O(n)$ for the outer loop (line 3), we can forget the startup which is $O(1)$

Formally: O-Calculus

- Such observations can be cast into a **set of rules**
- Lemma

*Let k be a constant. The following **equivalences are true***

- $O(k + f) = O(f)$;
- $O(k \cdot f) = O(f)$;
- $O(f) + O(g) = O(\max(f, g))$
- $O(f) \cdot O(g) = O(f \cdot g)$

with "slight misuse of notations":

Let $f_0 \in O(f)$ and $g_0 \in O(g)$ then

- $f_0 + g_0 \in O(\max(f, g))$
- $f_0 \cdot g_0 \in O(f \cdot g)$

- Explanations

- Rule 3 (4) actually implies rule 1 (2), as $k \in O(1)$
- Rule 3 is used for **sequentially executed parts** of a program
- Rule 4 is used for **nested parts** of a program (loops)

Example

- There is a typo in this slide: Somewhere, I typed “und” instead of “and”. Where?
- Abstract problem: Given a string T (template) und a pattern P (pattern), find **all occurrences of P in T**
 - Exact substring search
- The following algorithm solves this problem
 - Note: There are more efficient ones

```
1. for i := 1..|T|-|P|+1 do
2.   match := true;
3.   j := 1;
4.   while match
5.     if T[i+j-1]=P[j] then
6.       if j=|P| then
7.         print i;
8.         match := false;
9.       end if;
10.      j := j+1;
11.     else
12.       match := false;
13.     end if;
14.   end while;
15.end for;
```

Example

- The straight-forward way (**naïve algorithm**)
 - We use two counters: i, j
 - One (outer, i) runs through T
 - One (inner, j) runs through P

123456789...

T ctgagatcgcgta

P gagatc
gagatc
gagatc
gagatc
gatatc
gatatc
gatatc

```
1. for i := 1..|T|-|P|+1 do
2.   match := true;
3.   j := 1;
4.   while match
5.     if T[i+j-1]=P[j] then
6.       if j=|P| then
7.         print i;
8.         match := false;
9.       end if;
10.      j := j+1;
11.    else
12.      match := false;
13.    end if;
14.  end while;
15.end for;
```

Complexity Analysis ($n=|T|$, $m=|P|$)

```
1. for i := 1..|T|-|P|+1 do
2.   match := true;
3.   j := 1;
4.   while match
5.     if T[i+j-1]=P[j] then
6.       if j=|P| then
7.         print i;
8.         match := false;
9.       end if;
10.      j := j+1;
11.     else
12.       match := false;
13.     end if;
14.   end while;
15. end for;
```

```
1.  $O(n-m)$ 
2.  $O(1)$ 
3.  $O(1)$ 
4.  $O(m)$ 
5.  $O(1)$ 
6.  $O(1)$ 
7.  $O(1)$ 
8.  $O(1)$ 
9. -
10.  $O(1)$ 
11. -
12.  $O(1)$ 
13. -
14. -
15. -
```

Worst-Case

$$O(1)+O(1)=O(1)$$

```
1.  $O(n-m)$ 
2.  $O(1)$ 
3.  $O(m)$ 
4.  $O(1)$ 
```

$$O(1) \cdot O(m) = O(m)$$

```
1.  $O(n-m)$ 
2.  $O(1)$ 
3.  $O(m)$ 
```

$$O(1)+O(m)=O(m)$$

```
1.  $O(n-m)$ 
2.  $O(m)$ 
```

$$O(n-m) \cdot O(m) = O((n-m) \cdot m)$$

```
1.  $O((n-m) \cdot m)$ 
```

Deriving new Rules: Transitivity of O-Membership

- Lemma: If $f \in O(g)$ and $g \in O(h)$, then $f \in O(h)$
- Proof
 - We know by def.: $\exists c, n_0: \forall n \geq n_0: f(n) \leq c \cdot g(n)$
 - We know by def.: $\exists c', n'_0: \forall n \geq n'_0: g(n) \leq c' \cdot h(n)$
 - We need to show: $\exists c'', n''_0: \forall n \geq n''_0: f(n) \leq c'' \cdot h(n)$
 - We chose: $n''_0 = \max(n_0, n'_0)$; $c'' = c \cdot c'$
 - This gives:
 $\forall n \geq n''_0: f(n) \leq c \cdot g(n) \leq c \cdot c' \cdot h(n) \leq c'' \cdot h(n)$
 - q.e.d.

Ω -Notation

- O-Notation denotes an **upper bound** for the amount of computations necessary to run an algorithm for asymptotically large inputs
 - “f will always be faster than g”
- Sometimes, we also want **lower bounds**
 - “f can never be faster than g”
- Definition

*Let $g: \mathbb{N} \rightarrow \mathbb{R}^+$. $\Omega(g)$ is the **class of functions** defined as*

$$\Omega(g) = \{f: \mathbb{N}_0^+ \rightarrow \mathbb{R}_0^+ \mid \exists c > 0, \exists n_0 \geq 0, \forall n \geq n_0: f(n) \geq c * g(n)\}$$
- Explanation
 - $\Omega(g)$ is the class of functions that are **asymptotically larger** than g
 - Again: Not necessarily the largest smaller one

Examples

$$\Omega(g) = \{f: \mathbb{N}_0^+ \rightarrow \mathbb{R}_0^+ \mid \exists c > 0, \exists n_0 \geq 0, \forall n \geq n_0: f(n) \geq c * g(n)\}$$

$f(n) = 3n^2 + 6n + 7$ is $\Omega(n^2)$ but also $\Omega(n)$, $\Omega(1)$, ...

$f(n) = n^3 + 7000n - 300$ is $\Omega(n^3)$ but also $\Omega(n^2)$, $\Omega(n)$, ...

$f(n) = \log(n) + 300$ is $\Omega(\log(n))$ but also $\Omega(1)$, ...

$f(n) = 10$ is $\Omega(1)$

$f(n) = n^2$ is $\Omega(n^2)$ but also $\Omega(n)$, $\Omega(\log n)$, ...

Further Notation

$$\begin{aligned} - O(g) &= \left\{ f: \mathbb{R}_0^+ \rightarrow \mathbb{R}_0^+ \mid \begin{array}{l} \exists c \in \mathbb{R}^+ > 0 \quad \exists n_0 \in \mathbb{R}_0^+ > 0 \\ \forall n \geq n_0: f(n) \leq c \cdot g(n) \end{array} \right\} \\ - \Omega(g) &= \left\{ f: \mathbb{R}_0^+ \rightarrow \mathbb{R}_0^+ \mid \begin{array}{l} \exists c \in \mathbb{R}^+ > 0 \quad \exists n_0 \in \mathbb{R}_0^+ > 0 \\ \forall n \geq n_0: f(n) \geq c \cdot g(n) \end{array} \right\} \\ - \Theta(g) &= \left\{ f: \mathbb{R}_0^+ \rightarrow \mathbb{R}_0^+ \mid \begin{array}{l} \exists c_1, c_2 \in \mathbb{R}^+ > 0 \quad \exists n_0 \in \mathbb{R}_0^+ > 0 \\ \forall n \geq n_0: c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n) \end{array} \right\} \\ - o(g) &= \left\{ f: \mathbb{R}_0^+ \rightarrow \mathbb{R}_0^+ \mid \begin{array}{l} \forall c \in \mathbb{R}^+ > 0 \quad \exists n_0 \in \mathbb{R}_0^+ > 0 \\ \forall n \geq n_0: f(n) < c \cdot g(n) \end{array} \right\} \\ - \omega(g) &= \left\{ f: \mathbb{R}_0^+ \rightarrow \mathbb{R}_0^+ \mid \begin{array}{l} \forall c \in \mathbb{R}^+ > 0 \quad \exists n_0 \in \mathbb{R}_0^+ > 0 \\ \forall n \geq n_0: f(n) > c \cdot g(n) \end{array} \right\} \end{aligned}$$

- Interpretation: „f“ is asymptotically...

1. $f \in O(g)$: smaller than or equal to „g“
2. $f \in \Omega(g)$: larger than or equal to „g“
3. $f \in \theta(g)$: exactly like „g“
4. $f \in o(g)$: much slower than „g“
5. $f \in \omega(g)$: much faster than „g“

Reads:

- Big O
- Big Omega
- Theta
- Small O
- Small Omega

Not Every Problem is Simple

- Definition

We call an algorithm A with cost function f

- *polynomial*, if there exists a polynomial p with $f \in O(p)$
- *exponential*, if $\exists \varepsilon > 0$ with $f \in \Omega(2^{n^\varepsilon})$

- General assumption: If A is exponential, it **cannot be executed in reasonable time** for non-trivial input

- But: If A is exponential, this does not imply that the problem solved by A cannot be solved in polynomial time
- Of course: If A is bounded by a polynomial, then also the problem solved by A can be solved in polynomial time (by A)
- Much research in finding **good solutions** for difficult problems

Content of this Lecture

- Efficiency of Algorithms
- Machine Model
- Complexity
- Examples
 - Exact substring search (average-case versus worst-case)
 - Knapsack problem (exponential problem)

Exact Substring Search: Average Case

```
1. for i := 1..|T|-|P|+1 do
2.   match := true;
3.   j := 1;
4.   while match
5.     if T[i+j-1]=P[j] then
6.       if j=|P| then
7.         print i;
8.         match := false;
9.       end if;
10.      j := j+1;
11.     else
12.       match := false;
13.     end if;
14.   end while;
15. end for;
```

- We showed that the algorithm's WC is $O((n - m) \cdot m) \sim O(n \cdot m)$
 - Since $m \ll n$
- What does a **worst case** look like?

Exact Substring Search: Beyond Worst Case

```
1. for i := 1..|T|-|P|+1 do
2.   match := true;
3.   j := 1;
4.   while match
5.     if T[i+j-1]=P[j] then
6.       if j=|P| then
7.         print i;
8.         match := false;
9.       end if;
10.      j := j+1;
11.     else
12.       match := false;
13.     end if;
14.   end while;
15. end for;
```

- We showed that the algorithm's WC is $O((n - m) \cdot m) \sim O(n \cdot m)$
 - Since $m \ll n$
- What does a worst case look like?
 - $T = an$; **T** aaaaaaaaaaaaaa...
 - P** = ~~aaaaa~~
 aaaaaa
 aaaaaa
 aaaaaa
 aaaaaa
 ...
- What about the **average case**?
 - The outer loop is **passed by** $n-m+1$ times, no matter what T/P look like
 - This already is in $\Omega(n - m)$ in all cases
 - Worst, best, average, ...

Exact Substring Search: Average Case

- How often do we pass by the inner loop?
- Needs a model of “average strings”
- Simplest model:
T and P are **randomly generated** from the same alphabet Σ
 - Every character appears with equal probability at every position
- Gives a chance of $p = 1/|\Sigma|$ for every test “T[i+j-1]=P[j]”
- Derive the **expected number** of comparisons in line 3

```
1. O(n)
2.   while match
3.       if T[i+j-1]=P[j] then
4.           O(1)
5.       else
6.           O(1);   # end loop
7.   -
```

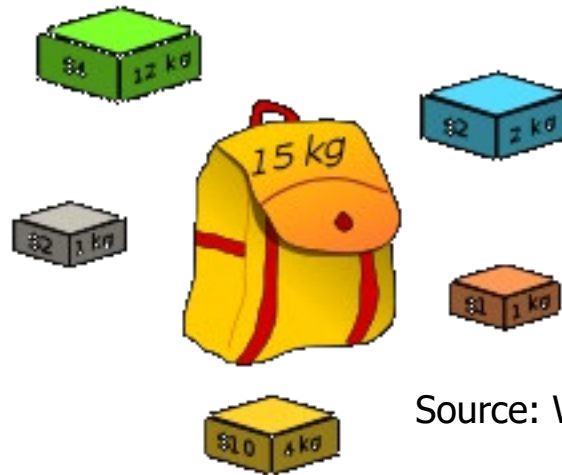
$$\begin{aligned} &= 1(1-p) + 2 \cdot p(1-p) + 3 \cdot p^2(1-p) + \dots + m \cdot p^{m-1} \\ &= 1-p + 2p - 2p^2 + 3p^2 - 3p^3 + \dots + m \cdot p^{m-1} \\ &= 1 + p + p^2 + p^3 + \dots + p^{m-1} = \sum_{i=1}^{m-1} p^i \end{aligned}$$

Cost 1 for mismatch at first position

Differences On Real Data

- Assume $|T|=50.000$ and $|P|=8$ and $|\Sigma|=29$
 - German text, including Umlaute, excluding upper/lower case letters
 - Worst-case estimate: 400.000 comparisons
 - Note: Here, $O(m \cdot n)$ is quite tight, no linear factors ignored
 - Average-case estimate: ~ 51.851 comparisons
 - We expect a **mismatch after every 1,03 comparisons**
- Assume $|T|=50.000$, $|P|=8$, $|\Sigma|=4$ (e.g., DNA)
 - Worst-case: 400.000 comparisons
 - Average-case: 65.740
- **Best algorithms** are $O(m + n) \sim 50.008$ comparisons
- Much better WC result, but not much better AC result
- But: Are **German texts random strings?**

Example 2: Knapsack Problem



Source: Wikipedia.de

- Given a **set S of items** with weights $w[i]$ and value $v[i]$ and a maximal weight m ; find the **subset $T \subseteq S$** such that:

$$\sum_{i \in T} w[i] \leq m \quad \text{and} \quad \sum_{i \in T} v[i] \quad \text{is maximal}$$

Algorithm and its Complexity

- Imagine an algorithm which enumerates all possible subsets T
- For each T , computing its value and its weight is in $O(|S|)$
 - Testing for maximum is $O(1)$
- But how many different T exist?

Algorithm and its Complexity

- Imagine an algorithm which **enumerates all possible subsets T**
- For each T , computing its value and its weight is in $O(|S|)$
 - Testing for maximum is $O(1)$
- But how many **different T** exist?
 - Every item from S can be part of T or not
 - This gives $2 \cdot 2 \cdot 2 \cdot \dots \cdot 2 = 2^{|S|}$ different options
- Together: This algorithm is **in $O(2^{|S|})$**

- Actually, the knapsack problem is **NP-hard**
- Thus, very likely no polynomial algorithm exists

Exemplary Questions for Examination

- Given the following algorithm: ... Analyze its worst case and average case complexity
- Prove that $O(f \cdot g) = O(f) \cdot O(g)$
- Order the following functions by their complexity class: n^2 , $100n$, $n \cdot \log(n)$, $n \cdot 2^{\log(n)}$, $\text{sqrt}(n)$, $n!$
- Let $f \in \Omega(g)$ and $g \in \Omega(h)$. Show that $f \in \Omega(h)$
- Find a function f such that: $f \in \Omega(n)$ and $f \notin O(n^3 \cdot \log(n))$