

# The Deduction Theorem for Strong Propositional Proof Systems

(Extended Abstract)

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**Abstract.** This paper focuses on the deduction theorem for propositional logic. We define and investigate different deduction properties and show that the presence of these deduction properties for strong proof systems is powerful enough to characterize the existence of optimal and even polynomially bounded proof systems. We also exhibit a similar, but apparently weaker condition that implies the existence of complete disjoint NP-pairs. In particular, this yields a sufficient condition for the completeness of the canonical pair of Frege systems and provides a general framework for the search for complete NP-pairs.

## 1 Introduction

The classical deduction theorem for propositional logic explains how a proof of a formula  $\psi$  from an extra hypothesis  $\varphi$  is transformed to a proof of  $\varphi \rightarrow \psi$ . While this property has been analysed in detail and is known to hold for Frege systems [3, 4], deduction has not been considered for stronger systems such as extensions of Frege systems, the apparent reason being that neither the extended Frege system  $EF$  nor the substitution Frege system  $SF$  satisfy the classical deduction theorem, as neither the extension nor the substitution rule is sound (in the sense that every satisfying assignment for the premises also satisfies the conclusion of these rules). We therefore relax the condition by requiring the extra hypothesis  $\varphi$  to be tautological. In this way we arrive at two weaker versions of the deduction property, for which we ask whether they are valid for strong proof systems with natural properties. It turns out that even these weaker versions of deduction are very powerful properties for strong proof systems as they allow the characterization of the existence of optimal and even polynomially bounded proof systems.

These characterizations are interesting as they relate two important concepts from different areas. The problem of the existence of polynomially bounded proof systems is known to be equivalent to the NP versus coNP question [7], while the question of the existence of optimal proof systems, asking for a strongest propositional proof system, is a famous and well-studied problem in proof complexity, posed by Krajíček and Pudlák [17], and with implications for a number

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of promise complexity classes (cf. [15, 20]). In particular, Sadowski [20] obtained different characterizations for the existence of optimal proof systems in terms of optimal acceptors and enumerability conditions for easy subsets of TAUT. Earlier, Krajíček and Pudlák [17] established  $\text{NE} = \text{coNE}$  as a sufficient condition for the existence of optimal proof systems, while Köbler, Messner, and Torán [15] showed that optimal proof systems imply complete sets for a number of other complexity classes like  $\text{NP} \cap \text{coNP}$  and BPP.

On the other hand, we show that weak deduction combined with suitable closure properties of the underlying proof system implies the existence of complete disjoint NP-pairs. Although disjoint NP-pairs were already introduced into complexity theory in the 80's by Grollmann and Selman [13], it was only during recent years that disjoint NP-pairs have fully come into the focus of complexity-theoretic research [18, 9–12, 1, 2]. This interest mainly stems from the applications of disjoint NP-pairs to such different areas as cryptography [13, 14] and propositional proof complexity [19, 18, 2].

Similarly as for other promise classes it is not known whether the class of all disjoint NP-pairs contains pairs that are complete under the appropriate reductions. This question, posed by Razborov [19], is one of the most prominent open problems in the field. On the positive side, it is known that the existence of optimal proof systems suffices to guarantee the existence of complete pairs [19]. More towards the negative, a body of sophisticated relativization results underlines the difficulty of the problem. Glaßer, Selman, and Sengupta [9] provided an oracle under which complete disjoint NP-pairs do not exist. On the other hand, in [10] they also constructed an oracle relative to which there exist complete pairs, but optimal proof systems do not exist.

Further information on the problem is provided by a number of different characterizations. Glaßer, Selman, and Sengupta [9] obtained a condition in terms of uniform enumerations of machines and also proved that the question of the existence of complete pairs receives the same answer under reductions of different strength. Additionally, the problem was characterized by provability conditions in propositional proof systems and shown to be robust under an increase of the number of components from two to arbitrary constants [1].

In this paper we exhibit several sufficient conditions for the existence of complete disjoint NP-pairs which involve properties of concrete proof systems such as Frege systems and their extensions. These results fall under a general paradigm for the search for complete NP-pairs, that asks for the existence of proof systems satisfying a weak version of the deduction theorem and moderate closure conditions. In particular, we provide two conditions that imply the completeness of the canonical pair of Frege systems and demonstrate that the existence of complete NP-pairs is tightly connected with the question whether  $EF$  is indeed more powerful than ordinary Frege systems.

The paper is organized as follows. In Sect. 2 we provide some background information on propositional proof systems and disjoint NP-pairs. In Sect. 3 we discuss various extensions of Frege systems that we investigate in Sect. 4 with respect to different versions of the deduction property. Section 5 contains the

results connecting the deduction property for strong systems with the existence of complete NP-pairs. Finally, in Sect. 6 we conclude with some open problems.

## 2 Preliminaries

**Propositional Proof Systems.** Propositional proof systems were defined in a very general way by Cook and Reckhow [7] as polynomial-time functions  $P$  which have as their range the set of all tautologies. A string  $\pi$  with  $P(\pi) = \varphi$  is called a  $P$ -proof of the tautology  $\varphi$ . By  $P \vdash_{\leq m} \varphi$  we indicate that there is a  $P$ -proof of  $\varphi$  of size  $\leq m$ . We write  $P \vdash_* \varphi_n$  if  $\varphi_n$  is a sequence of tautologies with polynomial-size  $P$ -proofs. A propositional proof system  $P$  is *polynomially bounded* if all tautologies have polynomial size  $P$ -proofs.

Proof systems are compared according to their strength by simulations introduced in [7] and [17]. A proof system  $S$  *simulates* a proof system  $P$  (denoted by  $P \leq S$ ) if there exists a polynomial  $p$  such that for all tautologies  $\varphi$  and  $P$ -proofs  $\pi$  of  $\varphi$  there is an  $S$ -proof  $\pi'$  of  $\varphi$  with  $|\pi'| \leq p(|\pi|)$ . If such a proof  $\pi'$  can even be computed from  $\pi$  in polynomial time we say that  $S$   *$p$ -simulates*  $P$  and denote this by  $P \leq_p S$ . If the systems  $P$  and  $S$  mutually ( $p$ -)simulate each other, they are called ( $p$ -)equivalent, denoted by  $P \equiv_{(p)} S$ . A proof system is called *optimal* if it simulates all proof systems.

In the following sections simple closure properties of propositional proof systems will play an important role. We say that a proof system  $P$  is *closed under modus ponens* if there exists a constant  $c$  such that  $P \vdash_{\leq m} \varphi$  and  $P \vdash_{\leq n} \varphi \rightarrow \psi$  imply  $P \vdash_{\leq m+n+|\psi|+c} \psi$  for all formulas  $\varphi$  and  $\psi$ . Similarly, we say that  $P$  is *closed under substitutions of variables with respect to the polynomial  $q$*  if  $P \vdash_{\leq m} \varphi(\bar{x})$  implies  $P \vdash_{\leq q(m)} \varphi(\bar{y})$  for all formulas  $\varphi(\bar{x})$  and propositional variables  $\bar{y}$  that are distinct from  $\bar{x}$ . Not specifying the polynomial explicitly, we say that  $P$  is *closed under substitutions of variables* if there exists a polynomial  $q$  with this property. Likewise,  $P$  is *closed under substitutions by constants* if there exists a polynomial  $q$  such that  $P \vdash_{\leq m} \varphi(\bar{x}, \bar{y})$  implies  $P \vdash_{\leq q(m)} \varphi(\bar{a}, \bar{y})$  for all formulas  $\varphi(\bar{x}, \bar{y})$  and constants  $\bar{a} \in \{0, 1\}^{|\bar{x}|}$ .

**Disjoint NP-Pairs.** A pair  $(A, B)$  is called a *disjoint NP-pair* if  $A, B \in \text{NP}$  and  $A \cap B = \emptyset$ . Grollmann and Selman [13] defined the following reduction between disjoint NP-pairs  $(A, B)$  and  $(C, D)$ :  $(A, B) \leq_p (C, D)$  if there exists a polynomial-time computable function  $f$  such that  $f(A) \subseteq C$  and  $f(B) \subseteq D$ . A disjoint NP-pair is *complete* if every disjoint NP-pair reduces to it.

The connection between disjoint NP-pairs and propositional proof systems was established by Razborov [19], who associated a *canonical disjoint NP-pair*  $(\text{Ref}(P), \text{SAT}^*)$  with a proof system  $P$ , where the first component  $\text{Ref}(P) = \{(\varphi, 1^m) \mid P \vdash_{\leq m} \varphi\}$  contains information about proof lengths in  $P$  and the second component  $\text{SAT}^* = \{(\varphi, 1^m) \mid \neg\varphi \in \text{SAT}\}$  is a padded version of SAT. This canonical pair is linked to the automatizability and the reflection property of the proof system [18]. More information on the connection between disjoint NP-pairs and propositional proof systems can be found in [18, 2, 11].

### 3 Extensions of Frege Systems

A prominent example of a class of proof systems is provided by *Frege systems* which are usual textbook proof systems based on axioms and rules. In the context of propositional proof complexity these systems were first studied by Cook and Reckhow [7] and it was proven there that all Frege systems, i.e., systems using different axiomatizations and rules, are p-equivalent.

In addition to Frege systems the *extended Frege proof system EF* can abbreviate complex formulas by propositional variables by the following *extension rule*: if  $q$  is a new propositional variable, neither occurring in the previous proof steps nor in the proven formula, then  $q \equiv \varphi$  is an admissible proof step for arbitrary formulas  $\varphi$  not containing  $q$ . The variable  $q$  is an *extension variable*, which from now on abbreviates the formula  $\varphi$ . Note that  $q \equiv \varphi$  is in general not tautological, and therefore  $q$  may not appear in the proven formula. This extension rule might further reduce the proof size, but it is not known whether *EF* is really stronger than ordinary Frege systems. Both Frege and the extended Frege system are very strong systems for which no non-trivial lower bounds to the proof size are currently known (cf. [5]).

Another way to enhance the power of Frege systems is to allow substitutions not only for axioms but also for all formulas that have been derived in Frege proofs. Augmenting Frege systems by this substitution rule leads to the *substitution Frege system SF*. The extensions *EF* and *SF* were introduced by Cook and Reckhow [7]. While it was already proven there that *EF* is simulated by *SF*, the converse simulation is considerably more involved and was shown independently by Dowd [8] and Krajíček and Pudlák [17]. For more detailed information on Frege systems and their extensions we refer to the monograph [16].

Under the notion of *Hilbert-style proof systems* we subsume all proof systems that have as proofs sequences of formulas, and formulas in such a sequence are derived from earlier formulas in the sequence by the rules available in the proof system. In particular, Frege systems and its extensions are Hilbert-style systems. Hilbert-style proof systems  $P$  can be enhanced by additional axioms in two different ways. Namely, we can form a proof system  $P + \Phi$  augmenting  $P$  by a polynomial-time computable set  $\Phi$  of tautologies as new axiom schemes. This means that formulas from  $\Phi$  as well as substitution instances of these formulas can be freely introduced as new lines in  $P + \Phi$ -proofs. In contrast to this we use the notation  $P \cup \Phi$  for the proof system that extends  $P$  only by formulas from  $\Phi$  but not by their substitution instances as new axioms. In our applications the set  $\Phi$  will mostly be *printable*, meaning that  $\Phi$  can both be decided and generated in polynomial time.

For *EF* there are two canonical ways how to define the extensions  $EF \cup \Phi$  and  $EF + \Phi$ , where these two possibilities differ in the use of the extension axioms. In the first method we will allow the introduction of extension axioms  $p \equiv \varphi$  only for extension variables  $p$  not occurring in  $\Phi$ , whereas in the second method we can freely use extension axioms that also involve variables from  $\Phi$ . For the first weaker notion we will use the notation  $EF^- \cup \Phi$  and  $EF^- + \Phi$ , or only  $EF^-$  when we augment *EF* in this manner by different sets of tautologies  $\Phi$ , whereas

the stronger second way is indicated by the usual notation  $EF \cup \Phi$ ,  $EF + \Phi$ , or simply  $EF$ . We will use the same notation  $(EF + \Psi)^-$  when we use an extension  $EF + \Psi$  as the base system and augment this with further axioms  $\Phi$  to systems  $(EF + \Psi)^- \cup \Phi$ .

In principle, this gives four possible types of extensions of  $EF$ , but it is easily seen that the distinction between  $EF$  and  $EF^-$  becomes irrelevant when we augment these systems by axiom schemes  $\Phi$ :

**Proposition 1.** *Let  $\Phi$  be a polynomial-time decidable set of tautologies. Then the proof systems  $EF + \Phi$  and  $EF^- + \Phi$  are  $p$ -equivalent.*

These extensions of  $EF$  are particularly important as every proof system  $P$  is simulated by a proof system of the form  $EF + \Phi$  where the axioms  $\Phi$  provide a propositional description of the reflection principle of  $P$ , expressing a strong form of the consistency of  $P$  (cf. [16] for details).

In addition, also the systems  $EF \cup \Phi$  and  $EF + \Phi$  appear to be very close to each other, as also  $EF \cup \Phi$  can use substitution instances of  $\Phi$  in its proofs. Namely, if  $\varphi(p_1, \dots, p_n)$  is a formula from  $\Phi$  and  $\theta_1(\bar{q}), \dots, \theta_n(\bar{q})$  are propositional formulas in the variables  $\bar{q}$  that are disjoint from  $\bar{p}$ , then we can deduce  $\varphi(\theta_1, \dots, \theta_n)$  in  $EF \cup \Phi$  as follows: we start with the extension axioms  $p_1 \equiv \theta_1(\bar{q}), \dots, p_n \equiv \theta_n(\bar{q})$  and use these formulas to show the equivalence  $\varphi(p_1, \dots, p_n) \equiv \varphi(\theta_1, \dots, \theta_n)$  by induction on the formula  $\varphi$ . Using the original axiom  $\varphi(p_1, \dots, p_n)$  from  $\Phi$  we arrive with modus ponens at the substitution instance  $\varphi(\theta_1, \dots, \theta_n)$ . We leave it open, whether this idea can be extended to a full simulation of  $EF + \Phi$  by  $EF \cup \Phi$ , but the argument shows that also the system  $EF \cup \Phi$  is quite natural, as it is equivalent to the proof system  $P = EF + \Phi$  where formulas from  $\Phi$  use pairwise distinct variables and each  $P$ -proof may contain at most one substitution instance of each formula from  $\Phi$ .

For  $SF$  the situation becomes even simpler, as there is only one sensible way to define extensions of  $SF$ . Namely, because  $SF$  can immediately generate substitution instances, we have  $SF \cup \Phi \equiv_p SF + \Phi$ . In total the following picture of possible extension of Frege systems emerges:

Proof system	Extensions by polynomial-time decidable axioms $\Phi$
$F$	$F \cup \Phi \leq_p F + \Phi$
$EF$	$EF^- \cup \Phi \leq_p EF \cup \Phi \leq_p EF^- + \Phi \equiv_p EF + \Phi$
$SF$	$SF \cup \Phi \equiv_p SF + \Phi$

In the above table all shown simulation relations are probably strict in each line (except for  $EF \cup \Phi \leq_p EF + \Phi$  as mentioned above), because the converse simulations (even for  $\leq$ ) have unlikely consequences, as we will show in the sequel of this paper, or easily follows from known results. The next table gives an overview of these consequences, ranging in strength from the existence of complete disjoint NP-pairs to the existence of optimal proof systems.

Assumption		Consequence
$F \equiv F^- \cup \Phi$	*)	$EF$ is optimal (cf. [16], Theorem 14.2.2)
$F \cup \Phi \equiv F + \Phi$	*)	Complete disjoint NP-pairs exist (Corollary 14)
$EF \equiv EF^- \cup \Phi$	*)	$EF$ is optimal (cf. [16])
$EF^- \cup \Phi \equiv EF \cup \Phi$	*)	$EF$ is optimal (Theorem 7)
$SF \equiv SF \cup \Phi$	*)	$SF$ is optimal (cf. [16])

\*) for all polynomial-time decidable sets of tautologies  $\Phi$

In contrast, we do not seem to have such indication for separating the systems in the vertical columns of the first table, as even the relation between  $F$  and  $EF \equiv_p SF$  is not settled.

## 4 Deduction Properties for Frege Systems

The deduction theorem of propositional logic states that in a Frege system  $F$  a formula  $\psi$  is provable from a formula  $\varphi$  if and only if  $\varphi \rightarrow \psi$  is provable in  $F$ . Because proof complexity is focusing on the length of proofs it is interesting to analyse how the proof length is changing in the deduction theorem. An  $F$ -proof of  $\varphi \rightarrow \psi$  together with the axiom  $\varphi$  immediately yields the formula  $\psi$  with one application of modus ponens. Therefore it is only interesting to ask for the increase in proof length when constructing a proof of  $\varphi \rightarrow \psi$  from an  $F$ -proof of  $\psi$  with the extra axiom  $\varphi$ . This was analysed in detail in [3, 4].

The main application of the deduction property is to simplify proofs of complex formulas. Namely, to prove an implication  $\varphi \rightarrow \psi$  it suffices to construct a proof of  $\psi$  from  $\varphi$ . In particular,  $\varphi$  can be any formula and is not necessarily a tautology. It is clear that such a deduction property is doomed to fail for strong systems like  $EF$  or  $SF$  that can immediately produce substitution instances from  $\varphi$ . For instance, by one application of the substitution rule we get  $SF \cup \{p\} \vdash q$ , whereas  $p \rightarrow q$  is not even a tautology. Similarly, we get  $EF \cup \{p\} \vdash q$  by introducing the extension axiom  $p \equiv q$  with extension variable  $p$  as the first line of the proof, and then derive  $q$  by modus ponens. This example, however, does not work for  $EF^-$  as we have used the variable  $p$  from the extra assumption as an extension variable. In fact, such an example cannot be found as the classical deduction theorem is valid for  $EF^-$  (Theorem 3).

Aiming in particular at strong proof systems like  $EF$  we therefore restrict  $\varphi$  to tautologies and make the following general definition.

**Definition 2 (Efficient/classical deduction property).** *A Hilbert-style proof system  $P$  allows efficient deduction if there exists a polynomial  $p$  such that for all finite sets  $\Phi$  of tautologies,*

$$P \cup \Phi \vdash_{\leq m} \psi \quad \text{implies} \quad P \vdash_{\leq p(m+m')} \left( \bigwedge_{\varphi \in \Phi} \varphi \right) \rightarrow \psi$$

where  $m' = |\bigwedge_{\varphi \in \Phi} \varphi|$ .

If this even holds for all finite sets  $\Phi$  of propositional formulas, then we say that  $P$  has the classical deduction property.

This classical deduction property is known to hold for Frege systems (cf. [4]), but actually almost the same proof also holds for the presumably stronger system  $EF^-$ .

**Theorem 3 (Deduction theorem for Frege systems).** *Let  $\Psi$  be a polynomial-time decidable set of tautologies. Then every Frege system  $F + \Psi$  and every extended Frege system of the form  $(EF + \Psi)^-$  has the classical deduction property.*

*Proof. (Sketch)* Let  $\varphi_1, \dots, \varphi_n$  be tautologies and let  $(\theta_1, \dots, \theta_k)$  be a proof of  $\psi$  in the system  $P \cup \{\varphi_1, \dots, \varphi_n\}$ , where  $P$  is  $F + \Psi$  or  $(EF + \Psi)^-$ . By induction on  $j$  we construct  $P$ -proofs of the implications  $(\bigwedge_{i=1}^n \varphi_i) \rightarrow \theta_j$ . This is done by distinguishing three cases on how the formula  $\theta_j$  was derived:  $\theta_j$  might be an axiom from  $\{\varphi_1, \dots, \varphi_n\}$  or  $\Psi$  (this case is easy),  $\theta_j$  might be derived by an  $F$ -rule, or  $\theta_j$  might be an application of the extension rule (if  $P = (EF + \Psi)^-$ ).

We just make some remarks on this last case. Let  $\theta_j$  be  $q \equiv \theta$  with the extension variable  $q$ . Then we can also use the extension rule to get  $q \equiv \theta$ , and derive  $(\bigwedge_{i=1}^n \varphi_i) \rightarrow (q \equiv \theta)$  in a proof of size  $O(|\theta| + \sum_{i=1}^n |\varphi_i|)$ . Here it is important that by the definition of  $(EF + \Psi)^-$  the extension variable  $q$  does not occur in the formulas  $\varphi_i$ , as otherwise we would not be able to use  $q$  as an extension variable in an  $EF + \Psi$ -proof of  $(\bigwedge_{i=1}^n \varphi_i) \rightarrow \theta_k$ .  $\square$

A still weaker form of the deduction property is given in the next definition.

**Definition 4 (Weak deduction property).** *A Hilbert-style proof system  $P$  allows weak deduction if the following condition holds. For all printable sets  $\Phi \subseteq \text{TAUT}$  there exists a polynomial  $p$  such that for all finite subsets  $\Phi_0 \subseteq \Phi$  we can infer from  $P \cup \Phi_0 \vdash_{\leq m} \psi$  that  $P \vdash_{\leq p(m+m')} (\bigwedge_{\varphi \in \Phi_0} \varphi) \rightarrow \psi$  where  $m' = |\bigwedge_{\varphi \in \Phi_0} \varphi|$ .*

In Definition 2 we allowed a fixed polynomial increase for the proof size in the transformation of a proof from  $\psi$  to the implication  $(\bigwedge_{\varphi \in \Phi_0} \varphi) \rightarrow \psi$ , whereas in the weak deduction property this polynomial might depend on the choice of the extra axioms  $\Phi$ . This weakening of the deduction property allows us to show the following proposition.

**Proposition 5.** *Optimal Hilbert-style proof systems have the weak deduction property. Similarly, polynomially bounded Hilbert-style proof systems have the efficient deduction property.*

*Proof. (Idea)* Let  $\Phi$  be a printable set of tautologies and let  $\pi$  be a  $P \cup \Phi$ -proof of  $\psi$ . If  $P$  is optimal (or even polynomially bounded), then we can first devise polynomial-size  $P$ -proofs of the extra assumptions  $\Phi_0$  in  $\pi$  and thus construct a  $P$ -proof of  $(\bigwedge_{\varphi \in \Phi_0} \varphi) \rightarrow \psi$ .  $\square$

The following theorem provides a form of a converse to the last proposition. This shows that the efficient and even the weak deduction property are very strong assumptions for natural proof systems.

**Theorem 6.** *Let  $P \geq EF$  be a Hilbert-style proof system that fulfills the following two conditions:*

1.  *$P$  is closed under modus ponens and substitutions by constants.*
2. *For all printable sets of tautologies  $\Phi$  the proof system  $P \cup \Phi$  is closed under substitutions of variables.*

*Then the following implications hold. If  $P$  has the weak deduction property, then  $P$  is an optimal proof system. If  $P$  even has the efficient deduction property and 2 holds for some fixed polynomial  $p$ , not depending on  $\Phi$ , then  $P$  is a polynomially bounded proof system.*

*Proof.* Let us argue for the first implication. To obtain the optimality of a proof system  $P \geq EF$  that is closed under modus ponens, it suffices to show  $P \vdash_* \varphi_n$  for all printable sequences of tautologies  $\varphi_n$  (cf. [16], Theorem 14.2.2). Let  $\varphi_n(\bar{p})$  be a printable sequence in the variables  $\bar{p}$ , and let  $\bar{q}$  be a sequence of propositional variables that is disjoint from  $\bar{p}$ . We consider the proof system  $P' = P \cup \{\varphi_n(\bar{q}) \mid n \geq 0\}$ , where the variables  $\bar{p}$  from  $\varphi_n(\bar{p})$  are substituted by  $\bar{q}$ . By assumption  $P'$  is closed under substitutions of variables and hence we have  $P' \vdash_* \varphi_n(\bar{p})$ . By the weak deduction property for  $P$  we get  $P \vdash_* \bigwedge_{i \in I} \varphi_i(\bar{q}) \rightarrow \varphi_n(\bar{p})$  for some finite set  $I$ . Using closure under substitutions by constants we derive  $P \vdash_* \bigwedge_{i \in I} \varphi_i(1, \dots, 1) \rightarrow \varphi_n(\bar{p})$ , where we have substituted all variables  $\bar{q}$  in  $\varphi_i(\bar{q})$  by constants 1. Because all  $\varphi_i$  are tautologies, the formulas  $\varphi_i(1, \dots, 1)$  are true formulas without variables and therefore admit polynomial-size  $P$ -proofs, as  $P \geq EF$ . Using modus ponens for  $P$  we arrive at polynomial-size  $P$ -proofs of  $\varphi_n(\bar{p})$ , as desired.

For the second implication we use the following characterization: a proof system  $P$  is polynomially bounded if and only if  $P \vdash_{\leq p(n)} \varphi_n$  for all printable sequences of tautologies  $\varphi_n$  and a fixed polynomial  $p$ . In the definition of the efficient deduction property and the other closure properties we have also bounded the increase in the proof length by fixed polynomials. Hence an easy modification of the above argument yields the second implication.  $\square$

Examining the situation for extensions of  $EF$  we obtain the following result.

**Theorem 7.** *Let  $\Psi$  be a polynomial-time decidable set of tautologies. Then the following conditions are equivalent:*

1.  *$EF + \Psi$  has the weak deduction property.*
2.  *$EF + \Psi$  is an optimal proof system.*
3. *For all polynomial-time decidable sets  $\Phi \subset TAUT$  the systems  $(EF + \Psi)^- \cup \Phi$  and  $(EF + \Psi) \cup \Phi$  are equivalent.*
4. *For all polynomial-time decidable sets  $\Phi \subset TAUT$  the proof system  $(EF + \Psi)^- \cup \Phi$  is closed under substitutions of variables.*

In particular, the last theorem yields two seemingly unrelated characterizations for the optimality of  $EF$ , namely weak deduction for  $EF$  and closure of  $EF^- \cup \Phi$  under substitutions of variables for arbitrary tautologies  $\Phi$ .

Similarly, we obtain the following characterizations for the efficient deduction property of extensions of  $EF$ .



**Theorem 8.** *Let  $\Psi$  be a polynomial-time decidable set of tautologies. Then the following conditions are equivalent:*

1.  $EF + \Psi$  has the efficient deduction property.
2.  $EF + \Psi$  is polynomially bounded.
3. There exists a polynomial  $p$  such that for all polynomial-time decidable sets  $\Phi \subset \text{TAUT}$  the proof system  $(EF + \Psi)^- \cup \Phi$  is closed under substitutions with respect to  $p$ .

While one might have objections on the naturality of the above systems  $(EF + \Psi) \cup \Phi$ , the same results are also valid for substitution Frege systems. In particular, we obtain from Theorem 6 the following characterizations.

**Corollary 9.** *Let  $\Psi$  be a polynomial-time decidable set of tautologies. Then the proof system  $SF + \Psi$  is optimal if and only if  $SF + \Psi$  has the weak deduction property. Further, the system  $SF + \Psi$  is polynomially bounded if and only if  $SF + \Psi$  has the efficient deduction property.*

As we know that every proof system  $P$  is simulated by a proof system of the form  $EF + \Psi$  with printable  $\Psi \subset \text{TAUT}$  (for instance we can take  $\Psi$  as translations of the reflection principle of  $P$ ), we can deduce the following characterization of the existence of optimal proof systems.

**Corollary 10.** *There exists an optimal proof system if and only if there exists a polynomial-time decidable set  $\Psi \subset \text{TAUT}$  such that  $EF + \Psi$  has the weak deduction property.*

Similarly, we can characterize the existence of polynomially bounded proof systems by the efficient deduction property.

**Corollary 11.** *There exists a polynomially bounded proof system if and only if there exists a polynomial-time decidable set  $\Psi \subset \text{TAUT}$  such that  $EF + \Psi$  has the efficient deduction property.*

## 5 Deduction Properties and Complete NP-Pairs

In this section we link the deduction property to the problem of the existence of complete disjoint NP-pairs. In this analysis properties of proof systems are transferred to properties of the corresponding canonical pairs of the systems.

Augmenting Hilbert-style proof systems  $P$  by additional axioms  $\Phi$  will usually enhance the power of the proof system. The following lemma shows, however, that if  $P$  has the weak deduction property, then the canonical pair of  $P \cup \Phi$  will not be more difficult than the canonical  $P$ -pair. In particular, combined with Theorem 3 the next lemma shows that the canonical pairs of  $F$  and its extensions  $F \cup \Phi$  are equivalent for printable sets  $\Phi \subseteq \text{TAUT}$ .

**Lemma 12.** *Let  $\Phi$  be a printable set of tautologies and let  $P$  be a proof system with the weak deduction property. Then  $(\text{Ref}(P \cup \Phi), \text{SAT}^*) \leq_p (\text{Ref}(P), \text{SAT}^*)$ .*

*Proof. (Idea)* The reduction is performed by the mapping

$$(\psi, 1^m) \mapsto ((\bigwedge_{\varphi \in \Phi_m} \varphi) \rightarrow \psi, 1^{p(mq(m)+m)})$$

where  $\Phi_m = \Phi \cap \Sigma^{\leq m}$  contains  $\leq q(m)$  tautologies for some polynomial  $q$ , and  $p$  is the polynomial from the weak deduction property of  $P$ .  $\square$

In the next theorem we formulate a sufficient condition for the existence of complete NP-pairs. The hypotheses in this theorem are very similar to the hypotheses in Theorem 6, which gave a sufficient condition for the existence of optimal proof systems. The decisive difference between the two theorems is that in Theorem 6 we needed closure of  $P \cup \Phi$  under substitutions of variables, whereas in the following theorem closure under substitutions by constants suffices.

**Theorem 13.** *Let  $P$  be a Hilbert-style proof system that simulates the truth-table system and fulfills the following three conditions:*

1.  $P$  is closed under modus ponens.
2. For all printable sets of tautologies  $\Phi$  the proof system  $P \cup \Phi$  is closed under substitutions by constants.
3.  $P$  has the weak deduction property.

*Then the canonical pair of  $P$  is a complete disjoint NP-pair.*

*Proof. (Sketch)* The idea of the proof is to construct suitable propositional representations of disjoint NP-pairs  $(A, B)$ . Such representations for  $A$  and  $B$  can be obtained similarly as in Cook's proof of the NP-completeness of SAT [6]. We then form a proof system  $P' = P \cup \Phi$  extending  $P$ , where  $\Phi$  are new axioms expressing the disjointness of  $(A, B)$  with respect to the above representations. This allows to reduce  $(A, B)$  to the canonical pair of  $P'$ . As  $P$  has weak deduction, we can use Lemma 12 to reduce the canonical pair of  $P'$  to the canonical pair of  $P$ , and hence  $(A, B)$  is  $\leq_p$ -reducible to  $(\text{Ref}(P), \text{SAT}^*)$ .  $\square$

The decisive hypotheses in Theorem 13 are assumptions 2 and 3. For Frege systems property 3 of Theorem 13 is fulfilled, but property 2 is not clear. For  $EF$  and  $SF$ , however, we have property 2, but whether property 3 holds is open. To find out whether some strong proof system fulfills both conditions 2 and 3 remains as a challenging task.

Instantiating Theorem 13 for Frege systems leads to the following corollary which asks, in principle, whether the systems  $F \cup \Phi$  and  $F + \Phi$  are equivalent.

**Corollary 14.** *Assume that for all printable sets of tautologies  $\Phi$  the system  $F \cup \Phi$  is closed under substitutions by constants. Then the canonical  $F$ -pair is a complete disjoint NP-pair.*

By Theorem 3 and Lemma 12 the same corollary also holds for the proof system  $EF^-$ .

Our last result shows that the existence of complete NP-pairs is tightly connected with the question whether  $F$  and  $EF$  are indeed proof systems of different strength.

**Corollary 15.** *Assume that for all printable sequences  $\Phi$  of tautologies the proof systems  $F \cup \Phi$  and  $EF \cup \Phi$  are equivalent. Then the canonical pair of the Frege proof system is complete for the class of all disjoint NP-pairs.*

In Table 1 we have summarized the different deduction properties and their implications for the existence of complete NP-pairs for Frege systems and their extensions.

Proof system $P$	Frege/ $EF^-$	$EF/SF$
classical deduction	yes	no
efficient deduction	yes	no, unless $P$ is optimal
weak deduction	yes	no, unless $P$ is pol. bounded
weakest known condition for the completeness of the canonical pair of $P$	closure of $P \cup \Phi$ under substitutions by constants for all printable $\Phi$	optimality of $P$

**Table 1.** Deduction properties for different types of proof systems

## 6 Conclusion

In this paper we have brought attention to the question whether strong proof systems such as extensions of Frege systems have some kind of deduction property. On the one hand, we have shown that optimal proof systems can be characterized by the weak deduction property. On the other hand, weak deduction combined with a moderate amount of closure properties yields complete disjoint NP-pairs. It therefore seems to be interesting to investigate the following problem:

*Problem 16.* Are there natural strong proof systems besides Frege systems that satisfy the weak deduction property?

Given the implications above, we expect, however, that neither proving nor disproving this question will be an easy task.

It would also be interesting to know whether the condition in Corollary 14 also characterizes the completeness of the canonical Frege pair, similarly as in Corollaries 10 and 11. A more general program is to determine which consequences of the completeness of the canonical pair of some proof system  $P$  are to expect for the system  $P$  itself.

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